Proposed changes to the Applications and Queuing Policy

Applications and Queuing Policy

ELECTRICITY NETWORKS CORPORATION ("WESTERN POWER")

ABN 18 540 492 861

{Outline: This applications and queuing policy is included in Western Power's access arrangement in accordance with section 5.1 of the Code.}

{Note: This policy has been prepared in accordance with the requirements of the Electricity Networks Access Code 2004, including proposed Electricity Networks Access Code Amendments (No 2) 2008}

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Part A - Common Provisions

Operation and objective

1.1 Operation of this applications and queuing policy

This applications and queuing policy operates in the manner shown in Figure 1 (next page).

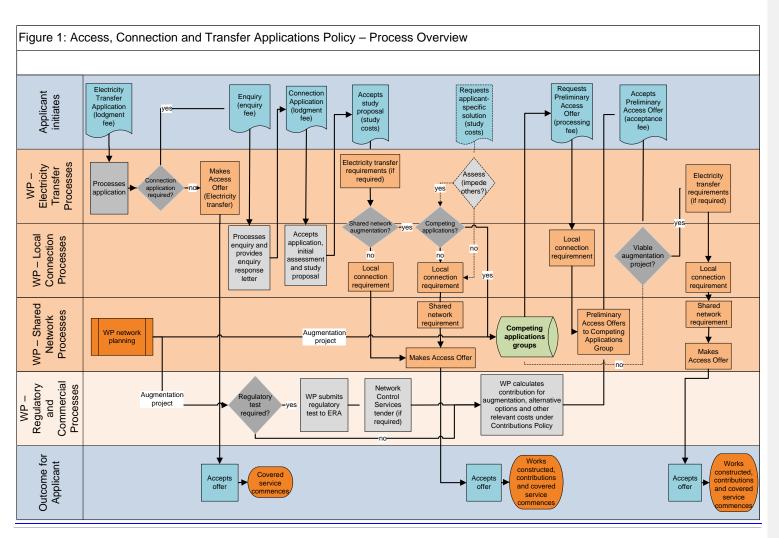
1.2 Objectives

The objectives of this applications and queuing policy are:

- (a) To provide an equitable, transparent and efficient process for assessing the suitability of plant and equipment to connect to Western Power's network and to make access offers based on that assessment; and
- (b) To undertake assessments and to provide shared network access offers that facilitate access by generators and loads to the WA Electricity Market (WEM) on an economically efficient and non-discriminatory basis that is consistent with WEM requirements, and uses a process that is equitable, transparent and efficient; and
- (c) Where feasible and cost-effective, to facilitate joint solutions for connection applications.

Western Power may from time to time determine that it can provide shared asset works that can provide access to multiple applicants.

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2. Introduction

2.1 Definitions

In this applications and queuing policy, unless the contrary intention is apparent:

"access arrangement" means the current access arrangement approved in respect of the network under the Code.

"access contract" means an agreement between Western Power and another person for that person to have access to covered services.

[Note: Under the Code "access agreement" has the meaning given to it in part 8 of the Act, and under section 13.4 (d) of the Code includes a "deemed access contract". The definition of "access agreement" under the Act is "an agreement under the Code between a network service provider and another person (a "network user") for that person to have access to services".}

"access contract number" means the unique identifier given to each access contract by Western Power.

"access dispute" has the meaning given to it in the Code.

("access dispute" means a dispute, in connection with an access application, between the applicant and the service provider, including a dispute in relation to any one or more of the following (and the paragraphs of this definition do not limit each other):

- (a) whether the applicant or the service provider has complied with, or the manner in which the applicant or the service provider has purported to comply with, the applications and queuing policy; and
- (b) the terms and conditions, including service standards, on which the applicant should be permitted to acquire covered services from the service provider; and
- (c) whether work is required work and the terms and conditions applying, or proposed to apply, to any such work; and
- (ca) anything connected with or arising out of a proposed contribution; and
- (cb) a matter heard under section 15.7; and
- (cc) anything connected with or arising out of Appendix 8; and
- (cd) anything connected with or arising out of Appendix 9; and
- (d) whether the service provider should grant the applicant an exemption to the technical rules under section 12.34; and
- (e) the arrangements which will apply in respect of a supplementary matter connected with the access application".)

"access offer" means a form of contract developed under this applications and queuing policy which has been signed by Western Power and is in such a form that it can, without anything else being required, become an access contract when signed by an applicant.

"Act" means the Electricity Industry Act 2004.

"accumulation meter" has the meaning given to it in the Metering Code.

{Note: Under the *Metering Code* "accumulation meter" means "a meter that measures accumulated energy data and records it in one or more accumulated energy registers, and includes a meter with interval energy data storage capability which is deemed to be an accumulation meter under clause 3.2(2)".}

"applicant" means a person (who may be a user or a customer) who has lodged, or intends to lodge, an application.

<u>"applicant-specific solution"</u> means a method of satisfying a connection application by either:

- (a) works funded solely by the applicant whether by direct funding or through payment of tariffs and/or contributions by that applicant and not involving another applicant; or
- (b) an operational solution involving only that applicant; or
- (c) a combination of works funded solely by the applicant and an operational solution.
- "application" means an electricity transfer application or a connection application.
- "application form" with regards to an application, means the applicable application form (as is specified as being applicable to the applicant's application in this applications and queuing policy or on Western Power's website) provided by Western Power on its website, or otherwise published by Western Power, for that application.
- "attachment point" means a point on the *network* at which *network* assets are connected to assets owned by another person.
- "augment" and "augmentation" have the meaning given to 'work' in the Code.

{Note: Under the Code "work" means "any activity or undertaking in connection with the covered network, whether of a capital or non-capital nature, including the planning, designing, development, approval, construction, acquisition and commissioning of new facilities and new network assets and the procurement or provision of any good or service".}

- "bidirectional point" means a single, indivisible (except as allowed under this applications and queuing policy) point, that for purposes under the access arrangement involving the transfer of electricity, is deemed to consist of a single attachment point, connected or to be connected to a user's connection point, with a single meter (regardless of the actual configuration of network assets making up the bidirectional point), at which electricity is to be transferred into and out of the network.
- **"bidirectional service"** means a covered service provided by Western Power at a connection point under which the user may transfer electricity into and out of the network at the connection point.

"bypass", in relation to an application ("bypassed application"), means that the first come first served principle is not applied in respect of the bypassed application.

- **"capacity"**, with regards to a part of the *network* (including a *connection point*), refers to the maximum rate at which electricity can be transported through that part of the *network* in accordance with *good electricity industry practice*.
- "contributions policy" means the contributions policy in the access arrangement.
- "charge", for a covered service relating to the transfer of electricity, means the amount that is payable by a user to Western Power for the covered service under an access contract.
- "Code" means the Electricity Networks Access Code 2004 (as amended).
- "competing", in relation to two or more connection applications, means that the provision of the covered service sought in one connection application may impede Western Power's ability to provide the covered services that are sought in the other connection applications.
- "competing applications group" means a number of applications that are competing for access to limited network capacity and have been grouped together by Western Power.
- "complete", in relation to an application or notice, means where the applicant or controller (as applicable) has:
- used reasonable endeavours to accurately and completely address each item in the applicable application form (including by the provision of any supporting information required by the application form); and
- (b) with respect to an electricity transfer application, provided all of the information required under clauses 3.5 and 3.6 for the application; and
- (c) with respect to a connection application, provided all of the information required under clauses 3.5 and 3.7 for the application,

to Western Power's satisfaction, acting as a reasonable and prudent person.

"completion date" means, in relation to works, the date when the works are complete except for minor omissions and minor defects which will not prevent the use of the works.

"confidential information" means

(d) in the case of information disclosed by an applicant or a disclosing person to Western Power in or in connection with an application, information which the disclosing person (acting as a reasonable and prudent person) has identified as being commercially sensitive or confidential; and

- (e) in the case of information disclosed by Western Power to an applicant or a disclosing person in connection with an application, information which Western Power (acting as a reasonable and prudent person) has identified as being commercially sensitive or confidential.
- "connection application" means an application lodged with Western Power under this applications and queuing policy that has the potential to require a modification to the network, including an application to:
- (a) connect facilities and equipment at a new connection point; or
- (b) increase consumption or generation at an existing connection point; or
- (c) materially modify facilities and equipment connected at an existing connection point; or
- (d) augment the *network* for any other reason,

{Note: this might be, for example, to service a subdivision.}

and includes any additional information provided by the *applicant* in regard to the *application*.

"connection point" means:

- (a) an exit point; or
- (b) an entry point; or
- (c) a bidirectional point;

identified or to be identified as such in an access contract.

"connection asset" has the meaning given to it in the Code.

{Note: Under the Code "connection assets" for a connection point, means "all of the network assets that are used only in order to provide covered services at the connection point".}

"connection offer" means an access offer made in respect of a connection application.

"consume" has the meaning given to it in the Code.

{Note: Under the Code "consume" means "to consume electricity".}

- "consumption", for a connection point, means the amount of electricity consumed at the connection point, and is measured in Watt-hours.
- "contestable", with respect to an exit point, means an exit point that Western Power has determined is contestable under clause 13.

"contestability threshold" in relation to an exit point, means the amount of electricity consumed or the estimated amount of electricity that will be consumed at the exit point, by a customer who is a member of a class of customers declared to be 'prescribed customers' as defined in section 54 of the Electricity Corporations Act 2005 by an order made under and in accordance with section 54(4) of that Act, within the period specified in the declaration.

"contract for services" has the meaning given to it in the Code.

{Note: Under the Code "contract for services" means "an agreement between a service provider and another person for the person to have access to services, and includes an access contract".}

"contracted capacity", for a connection point, means the maximum rate at which a user is permitted to transfer electricity to or from the network at the connection point, being either:

- (a) the rate specified in the user's access contract from time to time; or
- (b) if no rate is specified in the user's access contract, the maximum rate of electricity permitted to be transferred under the reference service eligibility criteria for the reference service for that connection point in the user's electricity transfer access contract; or
- (c) if no rate is specified in the user's access contract or in the reference service eligibility criteria, the maximum rate of electricity permitted to be transferred through the connection assets under the technical rules,

as applicable, and is measured in Watts or Volt-Amps.

"contribution" means any contribution applicable under the contributions policy.

"controller" means a person, which includes a customer, who owns, operates or controls (or will own, operate or control) facilities and equipment at a connection point, and who is specified by an applicant in an application in respect of the connection point.

"covered service" has the same meaning given to it in the *Code* but also includes a bidirectional service.

{Note: Under the Code "covered service" means "a service provided by means of a covered network, including:

- (a) a connection service; or
- (b) an entry service or exit service; or
- (c) a network use of system service; or
- (d) a common service; or
- (e) a service ancillary to a service listed in paragraph (a) to (d) above,

but does not include an excluded service".}

- "customer" has the meaning given to it in the Act.
- "Customer Transfer Code" means the Electricity Industry Customer Transfer Code 2004, made under section 39(2)(a) of the Act in respect of the matter referred to in section 39(2)(b) of the Act, and includes all rules, policies or other subordinate documents developed under the Customer Transfer Code.
- "customer transfer request" has the meaning given to it in the Customer Transfer Code.
 - [Note: Under the *Customer Transfer Code* "customer transfer request" means "a request by a retailer to a network operator made using the form published under clause 4.1 to transfer a contestable customer at an exit point in the network operator's network from one retailer to another".}
- "de-energise" in respect of a connection point, means to operate, modify or remove switching or other equipment to prevent the transfer of electricity through the connection point.
- "disclosing person", in relation to an application, means a person who discloses confidential information to Western Power in, or in connection with, an application.
- "dormant application" means a connection application that has been in the queue for longer than 12 months.
- "electricity transfer application" means an application lodged with Western Power under this applications and queuing policy seeking to obtain or modify an entry service or an exit service or a bidirectional service, and includes any additional information provided by the applicant in regard to the application.
- "electricity transfer access contract" means a type of access contract that provides the user with an entry service or exit service or bidirectional service, or any combination of the three, at a connection point or connection points.
- "enquiry" means an enquiry by an applicant under clause 18.
- **"entry point"** means a single, indivisible (except as allowed under this *applications* and *queuing policy*) point, that for purposes under the *access arrangement* involving the transfer of electricity, is deemed to consist of a single *attachment point*, *connected* or to be *connected* to a *user's connection point*, with a single *meter* (regardless of the actual configuration of *network* assets making up the *entry point*), at which electricity is more likely to be transferred into the *network* than out of the *network*.
- "entry service" means a covered service provided by Western Power at a connection point under which the user may transfer electricity into the network at the connection point.

- **"exit point"** means a single, indivisible (except as allowed under this *applications and queuing policy*) point, that for purposes under the *access arrangement* involving the transfer of electricity, is deemed to consist of a single *attachment point*, *connected* or to be *connected* to a *user's connection point*, with a single *meter* (regardless of the actual configuration of *network* assets making up the *entry point*), at which electricity is more likely to be transferred out of the *network* than into the *network*.
- **"exit service"** means a covered service provided by Western Power at a connection point under which the *user* may transfer electricity out of the *network* at the *connection point*.

"first some, first served" means that Western Power will process a connection application with earlier priority before a connection application with later priority (where it is not possible or practical to process them concurrently), and that the capacity sought in a connection application with earlier priority will be deemed to have been reserved during the period in which the connection application is being processed, for the purposes of processing any competing connection application with later priority. This may result in Western Power delaying making an access offer to the applicant with later priority until after the applicant with earlier priority has signed an access contract.

"generate" has the meaning given to it in the Code.

{Note: Under the Code "generate" means "to produce electricity".}

"generating plant" has the meaning given to it in the Code.

"generation", for a connection point, means the amount of electricity generated at the connection point, and is measured in kilowatts.

"generator" has the meaning given to it in the Code.

{Note: Under the Code "generator" means a person who generates electricity".}

"incoming retailer" has the meaning given to it in the Customer Transfer Code.

[Note: Under the Customer Transfer Code "incoming retailer", in relation to a customer transfer request or transfer, means "the retailer that will supply a contestable customer after the transfer time".]

- "initial response" means the initial response of Western Power to an *applicant* under clause 19.1 in relation to a *connection application*.
- **"law"** means "written law" and "statutory instruments" as defined in the *Code*, orders given or made under a written law or statutory instrument as so defined or by a government agency or authority, Codes of Practice and Australian Standards deemed applicable under a written law and rules of the general law including the common law and equity.

"lodgement fee" means the fee specified for an enquiry or an application in the price list.

"loss factor" has the meaning given to it in the Market Rules.

{Note: Under the *Market Rules* "loss factor" means "a factor defining the annual average marginal network loss between any given node and the Reference Node where the Loss Factor at the Reference Node is 1, determined in accordance with clause 2.27.2".}

"market participant" means a person who, at a time after "market commencement" (as defined in the Market Rules) is a "market participant" (as defined in the Market Rules).

"Market Rules" means the rules made pursuant to the *Electricity Industry (Wholesale Electricity Market) Regulations* 2004.

"meter" has the meaning given to it in the Metering Code.

{Note: Under the Metering Code "meter" means "a device complying with this Code which measures and records electricity production or consumption but under clause 3.24 does not include a prepayment meter".}

"Metering Code" means the code made under Section 39(1) of the Act in respect of a matter referred to in section 39(2)(a) of the Act, and includes any service level agreement, metering data agency agreement, communications rules, metrology procedure, mandatory link criteria and registration process developed under that code.

"metering database" means the "metering database" (as defined in the Metering Code) operated by Western Power under the Metering Code.

"metering equipment" means a *meter* or *meters* and associated equipment complying with the *Metering Code* used to measure and record electricity transferred to or from the *network* at a *connection point*, which may include the measurement of the rate of transfer and the quantity and quality of the transferred electricity.

"network" has the meaning given to "Western Power Network" in the Code.

[Note: Under the Code "Western Power Network" means "the covered network that is covered under section 3.1". The "Western Power Network" is the portion of the SWIN that is owned by the Electricity Networks Corporation.]

"network assets" has the meaning given to it in the Code.

{Note: Under the Code "network assets", in relation to a network means "the apparatus, equipment, plant and buildings used to provide or in connection with providing covered services on the network, which assets are either connection assets or shared assets".}

"Network Control Services" has the meaning given to Network Control Service in the Market Rules.

"NMI" means National Market Identifier, which is the unique identifier assigned by Western Power to each *connection point*.

"operational solution" means a method of satisfying a connection application that does not rely primarily on construction of new network assets or augmentation of existing network assets.

[Note: Examples of operational solutions could include generator runback schemes, load inter-trips, and off grid voltage support]

<u>"preliminary access offer"</u> mean an indicative and non-binding access offer that is made to an applicant within a competing applications group.

"premise" has the meaning given to it in the Energy Operators (Powers) Act 1979.

"previous retailer" has the meaning given to it in the Customer Transfer Code.

{Note: Under the <code>Customer Transfer Code</code> "previous retailer", in relation to a transfer, "means the retailer that supplied the contestable customer before the transfer time".}

"price list" means the price list (as defined in the Code) in the access arrangement.

"priority", in relation to a connection application, means the priority that the applicant has, as against any other applicant with a competing connection application, to obtain access to covered services.

"priority date" has the meaning given to it in clause 3.2.

"project" means a project identified in a tender notice.

"queue" means a first come, first served queue, to which the queuing rules apply.

"queuing rules" means the principles described in clause 24 that apply to determine the priority of a connection application.

"re-energise", in respect of a previously *de-energised connection point*, means to operate switching or other equipment so as to permit the transfer of electricity through the *connection point*.

"reference service" means a covered service designated in the access arrangement as a reference service (as defined by the Code).

"relocation' has the meaning given to it in the Code.

"reserve capacity auction" has the meaning given to it in the Market Rules.

[Note: Under the Market Rules "Reserve Capacity Auction" means "the process for determining the Reserve Capacity Price for a Reserve Capacity Cycle and the quantity of Reserve Capacity scheduled by the IMO for each Market Participant under clause 4.19".]

"retailer" has the meaning given to it in the Act.

"revenue meter" has the meaning given to it in the Metering Code.

[Note: Under the Metering Code "revenue meter" means "a meter that is used under the Metering Code as the source of energy data, unless the Metering Code permits an alternative source of energy data to be used".}

"services end date" means, in respect of a connection point, the date on which Western Power ends the provision of covered services to the user in respect of that connection point.

"services start date" means, in respect of a connection point, the date on which Western Power commences providing covered services to the user in respect of that connection point.

"shared assetassets" has the meaning given to it in the Code.

{Note: Under the Code "shared assets" mean "those network assets which are not connection assets".}

"signed" by Western Power or the *applicant* means duly signed or otherwise executed by or on behalf of all persons who comprise Western Power or the *applicant*, as the case may be.

"spare capacity" means the capacity, from time to time, of the network, as configured at the time of an application, to provide the covered services sought in the application, having regard to Western Power's contractual obligations in respect of the network.

"standard access contract", with respect to a reference service, means the access contract applicable to that reference service under the access arrangement.

"standing data" has the meaning given to it in the Metering Code.

"technical rules" means the *technical rules* (as defined in the *Code*) applying from time to time to the *network* under Chapter 12 of the *Code*, as modified in accordance with the *Code*.

"transition application" means an application which:

- (a) seeks modifications to an access contract or any other contract for services; and
- (b) the modifications, if implemented, would not materially impede Western Power's ability to provide a covered service sought in one or more other applications compared with what the position would be if the modifications were not implemented.

"unmetered connection", with respect to a *connection point*, has the same meaning as the term "type 7 connection point" when that term is used in the *Metering Code*.

"user" has the meaning given to it in the Code.

{Note: Under the Code "user" means "a person, including a generator or a consumer, who is a party to an [sic.] contract for services with a service provider, and under section 13.4(e) includes another business as a party to a deemed access contract".}

"verifiable consent" has the meaning given to it in the Customer Transfer Code.

{Note: Under the Customer Transfer Code "verifiable consent", in relation to a request for historical consumption data or a customer transfer request, means "consent that is given by a contestable customer—

- (a) expressly; and
- (b) in writing; and
- (c) after the retailer obtaining the consent has in plain language appropriate to the contestable customer disclosed all matters materially relevant to the giving of the consent, including each specific purpose for which the consent will be used; and
- (d) by a person whom a retailer (acting reasonably) would consider competent to give consent on the contestable customer's behalf; and
- (e) which has not expired under clause 1.5".}

"works" has the meaning given to it in the contributions policy.

{Note: Under the Contributions Policy "works" means "headworks and all works required to be undertaken to provide an applicant with the covered services sought by the applicant in a *connection application*, including works associated with:

- (a) augmentation of connection assets;
- (b) augmentation of shared assets;
- (c) alternative options; and
- (d) other non-capital works".}

2.2 Application of this *applications* and *queuing policy* to *connection applications* and *electricity transfer applications*

- (a) Part A and Part B but not Part C of this applications and queuing policy apply to an electricity transfer application.
- (b) Part A and Part C but not Part B of this applications and queuing policy apply to a connection application.

2.3 Interpretation

- (a) Unless:
 - (i) the contrary intention is apparent; or
 - (ii) the term has been redefined in clause ,2,

a term with a defined meaning in the Code has the same meaning in this applications and queuing policy.

(b) Unless the contrary intention is apparent:

- (i) a rule of interpretation in the Code; and
- (ii) the Interpretation Act 1984,

apply to the interpretation of this applications and queuing policy.

2.4 Transition of Prior applications

(a) To the extent permitted by law, the queue is continuous before and after the current access arrangement period.

(a) Unused

- (b) To the extent permitted by *law*, an application made prior to the current access arrangement period date of commencement of this applications and queuing policy shall be deemed to have been made under this applications and queuing policy, with the same a priority date being the date it was lodged under the previous version of the applications and queuing policy but if the application was taken to be amended under that version of the policy such that its priority was determined by the time of amendment then the priority date is that time of amendment as the initial application.
- (c) To the extent permitted by law, for the purposes of timeframes within this applications and queuing policy only, an application made prior to the current access arrangement period shall be deemed to have been made on the day the current access arrangement period commences.

2.5 Supplementary matters apply

Western Power and the *applicant* must, in accordance with section 5.28 of the *Code*, comply with any provisions of the *supplementary matters* relating to this *applications and queuing policy*.

2.6 Exercising an option not affected

An option granted to a *user* as part of the terms of an *access contract* to extend the duration of the *access contract* is not an *application* and is not subject to this *applications and queuing policy* if it is exercised in accordance with its terms.

3. The application

3.1 Applications to be made in good faith

Western Power and an *applicant* must act in good faith with regard to each other in relation to an *application*.

3.2 Commencing the application process

- (a) The application process is commenced by the applicant submitting: an enquiry to Western Power.
- (b) Following Western Power's response to the enquiry, the applicant must submit:
 - (i) an application to Western Power on the appropriate application form; or
 - (ii) where permitted under this *applications and queuing policy*, notice to Western Power,

that is complete.

(c) Western Power will stamp complete applications with the date on which the applications are lodged and complete, and this date will be the priority date. The priority date may change in accordance with the provisions of clause 24A.

3.3 Applicant to be market participant

An applicant who seeks an exit service or an entry service or a bidirectional service:

- (a) must submit an electricity transfer application; and
- (b) must be, or intend to be (providing reasonable proof of intent), a *market participant* at the time the electricity transfer is to take place.

3.4 Related electricity transfer application and connection application

Where

- (a) a retailer seeks to obtain or modify an exit service or an entry service or a bidirectional service on behalf of a customer; or
- (b) a generator seeks to obtain or modify an entry service or a bidirectional service on behalf of a controller who is not the generator,

and both a connection application and an electricity transfer application will be required under this applications and queuing policy, then the applications may:

- (c) be submitted concurrently by the retailer or generator; or
- (d) be submitted at different times by the *retailer* or *generator* and the *customer* or *controller* as applicable, in which case both parties are *applicants*.

3.5 Information required with all applications

All applicants must provide the following information to Western Power in respect of an application at the time of submitting the application:

- (a) details of the applicant, including:
 - (i) the full name and address of the applicant; and
 - (ii) whether the applicant is acting as agent for any person in making the application, and if so, details of the applicant's principals; and
 - (iii) whether the *applicant* is an existing *user*, and if so, details of the *applicant*'s existing access *contract*,

and

- (b) any conditions precedent that the *applicant* seeks to include in the resulting access offer; and
- (c) details of the connection point, including:
 - (i) the location or NMI of the connection point, as applicable; and
 - (ii) the forecast annual consumption of electricity, if applicable; and
 - (iii) the forecast annual generation of electricity, if applicable,

and

(d) such information concerning the applicant as Western Power requires, acting as a reasonable and prudent person, to assess the applicant's ability to meet its obligations under the resulting access contract.

3.6 Information required with electricity transfer applications

The applicant must provide the following information to Western Power in respect of an electricity transfer application at the time of submitting the electricity transfer application:

- (a) the covered services requested, and for each requested covered service:
 - (i) the requested services start date and requested services end date; and
 - (ii) if the covered service is a non-reference service, then a description of the non-reference service, including any deviation sought from the applicable tariff, service standard or standard access contract for an equivalent reference service; and
 - (iii) if applicable, the contracted capacity sought for the covered service; and
- (b) details of the connection point; including

- (i) for an existing connection point, any changes to be made to the standing data for that connection point as a result of the application; and
- (ii) for a new connection point, such information regarding the connection point required as standing data; and
- (iii) information regarding the controller, if the applicant will not be the controller, in compliance with the relevant provisions of the Metering Code in regard to the provision of controller information (where all references to a 'customer' under the relevant provisions of the Metering Code are to be read as references to the controller for the purposes of this clause 3.6).

3.7 Information required with connection applications

The applicant must provide the following information to Western Power in respect of a connection application at the time of submitting the connection application:

- (a) whether the application is being made in connection with a tender process; and
- (b) the covered services requested; and
- (c) the requested services start date and requested services end date, for;
 - (i) works; and
 - (ii) covered services involving the transfer of electricity that are likely to be sought under an associated electricity transfer application,

as applicable, and

- (d) the capacity sought, if applicable; and
- (e) such information regarding the *facilities* and *equipment* at the *connection point* to the extent required by:
 - (i) the technical rules; and
 - (ii) Western Power acting as a reasonable and prudent person,

and

(f) a full description of any exemptions to the technical rules sought by the applicant under Chapter 12 of the Code.

3.8 One electricity transfer access contract per connection point

Each connection point must be included in one and only one electricity transfer access contract to allow the transfer of electricity at that connection point.

3.9 Forecasts of information

When an application contains estimates or forecasts of any information:

- (a) Western Power may treat that estimated or forecast information as factual information; and
- (b) the *application* is a warranty by the *applicant* to Western Power that each such estimate or forecast is the *applicant*'s best estimate or forecast acting as a *reasonable and prudent person*.

3.10 Errors or omissions in an application

- (a) If Western Power becomes aware of any material error or omission in an application it must immediately notify the applicant about it and may request information under clause 3.11.
- (b) If an applicant is notified by Western Power under clause 3.10(a) or otherwise becomes aware of any material error or omission in an application, it must amend the application to remedy it as soon as practicable after becoming aware of it.
- (c) If Western Power has notified the applicant under clause 3.10(a), the applicant must amend the application to remedy the material error or omission within 20 business days, or the application and, as applicable, any associated electricity transfer application or connection application will be deemed to have been withdrawn.
- (d) If remedying an error or omission in an *application* amounts to a material amendment to the *application*, clause <u>24A</u> applies.

3.11 Additional information

- (a) At any time, Western Power may, acting as a reasonable and prudent person, request the applicant to provide further information that Western Power reasonably requires to enable it to process the application.
- (b) If Western Power has notified the applicant under clause 3.11(a), the applicant must amend the application to provide the additional information within 20 business days, or the application and, as applicable, any associated electricity transfer application or connection application will be deemed to have been withdrawn.
- (c) If providing additional information for an *application* amounts to a material amendment to the *application*, clause <u>24A</u> applies.

3.12 Western Power must be expeditious and diligent

Western Power must process an application expeditiously and diligently.

3.13 Amendment and withdrawal of application

- (a) An applicant may at any time, by notice in writing to Western Power, amend an application.
- (b) If an amendment to an application results in a change to the original lodgement fee, Western Power may charge the applicant the new lodgement fee or refund part of the original lodgement fee, having regard for the work already completed in processing the application.
- (c) An *applicant* may at any time before it enters into an *access contract*, by notice in writing to Western Power, withdraw an *application*.
- (d) If an application is withdrawn, Western Power must refund part or all of the applicable lodgement fee, having regard for the work already completed in processing the application.

(d) Unused.

(e) Without limiting this clause 3.13, an amendment to an application may include a change to the identity of the applicant in which case the other information in the application must also be amended.

3.14 Applications do not expire

Subject to clause, An application does not expire due to the passage of time.

4. The access offer

4.1 Access offer to be signed by Western Power

Western Power must present the access offer in such a form that it can, without anything else being required, become or modify an access contract or access contracts when signed by an applicant.

4.2 If application requests reference service

If an application requests a reference service, then the access offer must be on materially the same terms as the standard access contract applicable to the reference service.

4.3 If application requests non-reference service

If an application requests a non-reference service, then the terms of the access offer must be:

- (a) consistent with the Code objective; and
- (b) reasonable; and
- (c) subject to this applications and queuing policy, as similar as practicable to those terms requested in the application dealing with the relevant matter, and negotiated in good faith by the applicant and Western Power during the processing of the application.

4.4 Services start date and services end date

The services start date and the services end date specified in the access offer must be as close as practicable to the services start date and the services end date sought in the application.

4.5 Conditions precedent permitted in access contract

Western Power and an *applicant* must negotiate in good faith regarding any conditions precedent that the *applicant* or Western Power <u>seekseek</u> to have included in an access contract in order to achieve the objectives set out in clause 4.6. For the avoidance of doubt, Western Power may require a condition precedent in the access contract that:

- (a) The works involved in providing access to the applicant pass a regulatory test (if required); and
- (b) Other applicants that are:
 - (i) in the same competing applications group as the applicant; and
 - (ii) have been or are subsequently offered access contracts.

enter those access contracts with Western Power and that any conditions precedents in those access contracts are fulfilled.

4.6 Objectives with regard to conditions precedent

The objectives of this *applications* and *queuing* policy with regard to conditions precedent are:

(a) conditions precedent in access contracts should facilitate the development of electricity consuming and generating projects and provide flexibility; and

- (b) conditions precedent should not unduly impede the ability of Western Power to provide covered services to <u>competing applicants</u> with later <u>priority</u> or cause uncertainty and delay; and
- (c) conditions precedent should not constitute an inappropriate barrier to entry into a market or be for the purpose of hindering or preventing access by any person to covered services.

4.7 Conditions precedent and determination of spare capacity

In determining whether there is sufficient *spare capacity* to provide *covered services* requested in an *application*, Western Power must regard any existing conditional access contract <u>contracts</u> with <u>conditions precedent</u> as being unconditional.

4.8 Conditions precedent not longer than 8 months

- (a) Western Power and an applicant may not enter into an access contract that contains a condition precedent for which a period of longer than 8 months from the date the access contract was entered into is allowed for its fulfilment.
- (b) If, after 8 months, a condition precedent in an access contract has not been fulfilled, then:
 - (i) if there is no competing application, Western Power and the relevant user may agree within 20 business days to extend the period in the access contract allowed for the satisfaction of conditions precedent by up to a further 6 months; or
 - (ii) if there is a *competing application*, then, subject to clause 6, Western Power and the existing *user* must negotiate in good faith within 20 business days to accommodate both the *user's* and the *competing applicant's* requirements.

{Note: this might mean sharing the costs of *augmentation* as calculated under the *contributions policy*, or some other means of resolving the conflict.}

- (c) If no agreement is reached under clause 4.8(b), then <u>either Western Power or</u> the *user* may <u>either</u>:
 - (i) terminate the access contract; or
 - (ii) waive any conditions precedent that are for the benefit of the userthat party if that would result in the access contract becoming unconditional; or
 - (iii) refer this matter to the Arbitrator as an access dispute.

4.9 Security

- (a) Subject to clause 4.9(b), if there is a material risk that the *applicant* will be unable to meet any or all of its liabilities under an *access contract* resulting from the *applicant*'s *application*, then Western Power may require the *applicant* to procure:
 - (i) an indemnifier acceptable to Western Power (acting as a reasonable and prudent person) who will agree to be a party to the access contract and indemnify Western Power in respect of those liabilities; or
 - (ii) a guarantor acceptable to Western Power (acting as a reasonable and prudent person) to provide a guarantee in favour of Western Power substantially in the form set out Schedule 1,
- (b) If an applicant has an unqualified credit rating of at least:
 - (i) BBB from Standard and Poor's Australia Pty Ltd; or
 - (ii) Baa from Moody's Investor Service Pty Ltd,
 - and provides evidence to this effect to Western Power, without limiting the User's security obligations related to clause 4.9(c), then Western Power is not entitled to require the User to provide the security under clause 4.9(a).
- (c) Notwithstanding an applicant providing evidence that it has an unqualified credit rating in accordance with clause 4.9(b), Western Power may, as a condition under an access contract or otherwise, require the user or indemnifier to provide an irrevocable and unconditional bank guarantee or equivalent financial instrument in terms acceptable to Western Power (acting as a reasonable and prudent person), guaranteeing the value of any amount of any contribution that remains unpaid or not provided at the time of requirement.
- (d) Western Power may perform a security assessment under this clause 4.9 prior to making an access offer.

4.10 Arbitrator's powers preserved

Nothing in this clause 4 limits the *Arbitrator's* power to make an award compelling Western Power to provide access to a covered service on terms specified in the award.

5. Entering into or modifying an access contract

5.1 When access offer becomes access contract

(a) An access offer becomes an access contract, or modifies an existing access contract in accordance with the terms of that access contract, as applicable, when signed by both parties.

(b) Western Power must *sign* the access offer before giving the access offer to the applicant.

5.2 Applicant's options on receipt of an access offer

The *applicant* must as soon as practicable, and in any event within 30 business days after receipt of an *access offer*, either:

- (a) sign the access offer, thereby entering into an access contract or modifying an existing access contract, as applicable; or
- (b) by notice to Western Power reject the access offer and request amendments to the application; or
- (c) by notice to Western Power withdraw the application,

and if 30 Business Days after receipt of the access offer the applicant has not complied with any of clauses 5.2(a), 5.2(b), or 5.2(c), then (unless the Arbitrator makes an order extending the time limit on the ground that the delay is beyond the applicant's reasonable control) the applicant is to be taken to have withdrawn its application-and any, as applicable, associated electricity transfer application or connection application.

5.3 If applicant rejects access offer and requests amendments

If the *applicant* rejects an access offer and requests amendments to the *application* under clause 5.2(b), Western Power <u>must:</u>and the *applicant* must negotiate in good faith regarding the *application*, but if Western Power and the *applicant* have not signed an access contract (including an access contract with conditions precedent) within 30 business days, then the *application* and any, as applicable, associated electricity transfer application or connection application will be deemed to have been withdrawn.

- 5.4 prioritise the amended application in accordance with clause; and
- 5.5 address the amended application in accordance with this applications and queuing policy; and
- 5.6 make a further access offer to the applicant as soon as practicable in accordance with this applications and queuing policy.

5.75.4 If applicant

accepts access offer

If the applicant signs the access offer, it must:

(a) forthwith give written notice of the signing to Western Power;

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- (b) as soon as practicable procure the stamping of the signed access contract, if applicable, and pay all stamp duties that are assessed by the Office of State Revenue on the access contract; and
- (c) as soon as practicable thereafter give to Western Power at least one original copy of the signed and stamped access contract.

5.85.5 AccessConnectio

n application ceases to exist after signing

Upon <u>an both Western Power and the applicant signing</u> an access <u>offercontract</u>, and any <u>conditions precedent in the access contract being fulfilled</u>, the <u>application</u> in <u>response relation</u> to which the access <u>offercontract</u> was <u>madeentered</u> ceases to exist.

6. Confidentiality

6.1 Confidential information

Information which Western Power is required to disclose under clauses- $\frac{24.16(a)}{24.16(b)}$ or $\frac{24.16(b)}{24.16(c)}$ is not confidential information.

6.2 Confidential information must not be disclosed

Western Power, an applicant or a disclosing person must not disclose confidential information unless:

- (a) the disclosure is made to the Authority on a confidential basis; or
- (b) the disclosure, where it is made by an applicant or a disclosing person, is made to a worker of Western Power who is bound by an adequate confidentiality undertaking; or
- (c) the disclosure is made with the consent of the disclosing person; or
- (d) the disclosure is required or allowed by law, or by the *Arbitrator* or another court or tribunal constituted by law; or
- (e) the information has entered the public domain other than by breach of this clause6.2; or
- (f) the information could be inferred by a reasonable and prudent person from information already in the public domain.

Part B - Electricity transfer applications

7. Costs and timing of processing electricity transfer applications

7.1 Where applicant seeks a reference service

- (a) An applicant who seeks a reference service must pay to Western Power the lodgement fee in the price list specified as being applicable to the applicant's application in this applications and queuing policy, which will be either:
 - (i) a new connection point fee; or
 - (ii) an access contract modification fee; or
 - (iii) a new access contract fee.
- (b) If the *applicant* is not an existing *user*, then the *lodgement* fee must be paid at the time the *applicant* lodges its *electricity transfer application*.
- (c) If the *applicant* is an existing user, then the *lodgement fee* will be added to the next invoice under the user's existing access contract.
- (d) Western Power must notify the *applicant* that it has received the *applicant*'s electricity transfer application within 5 business days.
- (e) Subject to Western Power performing a security assessment under clause 4.9, if the applicant is an existing user and selects a reference service, then Western Power must use reasonable endeavours to make an access offer, by notice to the applicant, to modify the applicant's access contract:
 - within 5 business days of receiving the complete electricity transfer application; or
 - (ii) within 5 business days of an access offer being signed by an applicant for any associated connection application,

whichever is later.

- (f) Subject to Western Power performing a security assessments under clause 4.9, if the *applicant* is not an existing *user*, and selects a *reference* service, Western Power must use reasonable endeavours to make an *access offer*:
 - within 10 business days of receiving the complete electricity transfer application; or
 - (ii) within 5 business days of an access offer being signed by an applicant for any associated connection application,

whichever is later.

7.2 Where applicant seeks a non-reference service

- (a) An applicant seeking a non-reference service, including, but not limited to, an exit service or an entry service or a bidirectional service with a different tariff or a different access contract than for an equivalent reference service, then the applicant must, when requested by Western Power, pay an amount to Western Power in respect of a reasonable cost incurred, or to be incurred within a reasonable timeframe, in processing the application.
- (b) The total of the costs referred to in clause 7.2(a) must not exceed the reasonable costs which would be incurred by a prudent service provider, acting efficiently and in good faith, seeking to achieve the lowest practicable cost of processing the application.
- (c) The costs referred to in clause 7.2(a) must not include any costs of Western Power in relation to an access dispute (which are to be awarded by the Arbitrator under Chapter 10 of the Code).
- (d) If an *applicant* selects a *non-reference* service, then Western Power must make an access offer as soon as practicable after the *complete application* is lodged, having regard to the nature of the *non-reference* service being sought by the *applicant*.

7.3 Connection application costs not affected

Nothing under this Part B affects costs applicable for a connection application.

7.4 Variation from this applications and queuing policy

An *applicant* and Western Power may agree to deal with any matter in connection with the *applicant's application* in a manner different to the treatment of the matter in this *applications and queuing policy* as long as the ability of Western Power to provide a *covered service* that is sought by another *applicant* is not impeded.

8. Eligibility criteria for reference services

If an *applicant* seeks a *reference* service and Western Power is satisfied as a reasonable and prudent person that the *applicant* does not meet the eligibility criteria given in the access arrangement for the reference service, then Western Power may reject the applicant's electricity transfer application.

9. Electricity transfer application for a new connection point

9.1 Customer transfer request

- (a) An incoming retailer may lodge a customer transfer request with Western Power with respect to a contestable exit point. With respect to the customer transfer request:
 - (i) Western Power, the *incoming retailer* and the *previous retailer* must comply with the *Customer Transfer Code*; and
 - (ii) except as specified in this clause 9, this *applications* and *queuing* policy does not apply.
- (b) Western Power must not process the *customer transfer request* if it determines under clause 13 that the *exit point* is not *contestable*.
- (c) Western Power must process a customer transfer request such that the incoming retailer receives the same covered service at the same contracted capacity as the previous retailer.
- (d) The exit point must be transferred as a complete and indivisible unit such that all associated meters are transferred in one transaction.
- (e) If the incoming retailer seeks to modify the covered service with respect to an exit point that has been the subject of a customer transfer request, then that incoming retailer must make an application under this applications and queuing policy as a separate transaction after the customer transfer request has been processed.

9.2 Creating a new connection point or connecting new generating plant

- (a) An applicant who seeks to create a new connection point or to install new generating plant at an existing connection point must:
 - submit an electricity transfer application on the application form that is applicable for the type of facilities and equipment to be connected at the connection point; and
 - (ii) submit, or procure that its customer submits, a connection application.
- (b) If the applicant is seeking a reference service, then:
 - if the applicant is an existing user, the new connection point lodgement fee applies to the application; or
 - (ii) if the applicant is not an existing user, the new access contract lodgement fee applies to the application,

but if the *applicant* is seeking a *non-reference* service then clause 7.2 applies to the *application*.

- (c) If an applicant submits an electricity transfer application subsequent to Western Power making an access offer for an associated connection application (to the applicant, its customer or another person) and:
 - (i) the capacity; or
 - (ii) the services start date (as relates to the transfer of electricity); or
 - (iii) the services end date (as relates to the transfer of electricity),

sought in the connection application and the electricity transfer application are not the same, such that the application of the contributions policy based on the information in the electricity transfer application would produce a contribution different to that specified in the access offer for the associated connection application, then Western Power may:

- (iv) where the *contribution* would be higher to that specified in the access offer, require the *applicant* to pay the difference; or
- (v) where the contribution would be lower to that specified in the access offer and the contribution specified in the access offer has been paid by the applicant, rebate the difference to the person who paid a contribution in respect of the connection application,

as applicable.

- (d) The services start date for the covered services sought under the electricity transfer application will be the later of:
 - (i) the services start date (as relates to the transfer of electricity) sought in the connection application; or
 - (ii) the services start date sought in the electricity transfer application; or
 - (iii) the completion date of any works resulting from the connection application.
- 10. Electricity transfer application to modify an existing covered service
- 10.1 Selection of different covered service or selection or modification of an existing nonreference service
 - (a) An applicant may make an electricity transfer application to select a different reference service, or to select or modify a non-reference service, with respect to a connection point in the applicant's access contract, by notice to Western Power.

- (b) If the *applicant* is seeking a *reference* service, then the new connection point *lodgement* fee applies to the *application*.
- (c) If the *applicant* is seeking a *non-reference* service then clause 7.2 applies to the *application*.
- (d) If Western Power considers, as a reasonable and prudent person, that the requested change in covered service indicates that the applicant will require a greater capacity, then:
 - (i) Western Power must notify the *applicant* within 5 business days whether the *applicant* must also submit, or procure that its *controller* submits, a *connection application* for an increase in *contracted capacity*; and
 - (ii) the priority <u>date</u> of such connection application shall be determined:
 - (A) if a complete connection application is received by Western Power within 20 business days of the notice sent to the applicant under clause 10.1(d)(i), from the date Western Power received the electricity transfer application electricity transfer application under clause 10.1(a); and
 - (B) otherwise, from the date Western Power received the *complete* connection application.
- (e) If the application requests a new covered service that is serviced at a different voltage than the existing covered service, then Western Power must notify the applicant that it must submit, or procure that its controller submits, a connection application.

10.2 Increase or decrease in contracted capacity

- (a) An electricity transfer application to increase or decrease contracted capacity with respect to an existing covered service under the applicant's access contract may be made by notice to Western Power.
- (b) The *lodgement fee* for an access contract modification applies to the *applicant's* application, plus any costs for any associated connection application.
- (c) Western Power must notify the applicant whether or not it accepts the increase or decrease in contracted capacity within 5 business days of receipt by Western Power of the applicant's notice under clause 10.2(a) (or such further time as a prudent service provider would reasonably require to consider such application).
- (d) Western Power must accept the increase or decrease in *contracted capacity* if it forms the view as a reasonable and prudent person that:

- accepting the increase or decrease in contracted capacity would not be likely to impede the ability of Western Power to provide a covered service sought in an application lodged by another applicant; and
- (ii) it is not likely that an *augmentation* or any *work* would be required to provide the increase or decrease in *contracted capacity*, and
- (iii) in the case of a second or further application or notice in any rolling period of 12 months, the additional applications or notice satisfies clause 10.3.
- (e) If Western Power determines that it cannot form the view required for acceptance of the increase or decrease in *contracted capacity* under clause 10.2(d), then:
 - (i) Western Power must notify the *applicant* that it must submit, or procure that its *controller* submits, a *connection application*; and
 - (ii) the priority <u>date</u> of such connection application shall be determined:
 - (A) if a complete connection application is received by Western Power within 20 business days of the notice sent to the applicant under clause 10.2(e)(i), from the date Western Power received the electricity transfer application under clause 10.2(a); and
 - (B) otherwise, from the date Western Power received the complete connection application.

10.3 More than 1 change or modification within 12 months

If Western Power receives:

- (a) more than 1 application or notice under clause 10.1; or
- (b) more than 1 application or notice under clause 10.2,

seeking to change the *covered service*, including to decrease or increase the contracted capacity, with respect to a single *connection point* in any rolling period of 12 months, then in relation to each additional *application* or notice Western Power:

- (c) may, subject to this clause 10, accept the change of covered service, where Western Power is satisfied, as a reasonable and prudent person, that the new covered service will be sufficient to meet the actual requirements of the applicant, and that it is required by reason of one or more of the following circumstances:
 - a change in the actual consumption or generation by the applicant in respect
 of that connection point over the 12 month period prior to the applicant giving
 notice under clause 10.1(a) or 10.2(a) (as applicable), as recorded by the
 metering equipment; or

- (ii) a change in the nature of the business or operation conducted at the connection point; or
- (iii) a shutdown of the business or operation conducted at the connection point (including a shutdown for maintenance purposes) for longer than 1 continuous month; or
- (iv) a rapid increase or decline in the business at the connection point; or
- a decrease in the number of capacity credits (as defined in the Market Rules)
 allocated to any generating plant at the connection point under the Market
 Rules; or
- (vi) as part of a relocation or; or
- (vii) some other special circumstance,

and

(d) is entitled to refuse the change in covered service where Western Power is satisfied, as a reasonable and prudent person, that the change is sought by reason of the seasonal nature of the business or operation at the connection point.

10.4 Modification of generating plant

- (a) An applicant must make a connection application before materially changing any of those characteristics of generating plant connected at a connection point required to be provided in the applicable application form.
- (b) If the *applicant signs* an access offer in respect of the connection application, then the parties must amend the *applicant's access contract* accordingly.

11. De-energisation and re-energisation

11.1 De-energisation

A request by a user to Western Power to de-energise an existing connection point under the user's access contract or applicable laws is not an application and this applications and queuing policy does not apply to it.

11.2 Re-energisation

(a) An applicant who seeks to re-energise an existing de-energised connection point must submit an electricity transfer application on the application form that is applicable for the type of facilities and equipment connected or to be connected at the connection point.

- (b) If the *applicant* does not have an *electricity transfer* access *contract*, then the *lodgement* fee for a new access *contract* applies to the *application*, plus costs associated with the *re-energisation* under the *Metering Code*.
- (c) If the de-energised connection point is not on the applicant's electricity transfer access contract, then the lodgement fee for a new connection point applies to the application, plus costs associated with the re-energisation under the Metering Code.
- (d) If the de-energised connection point is on the applicant's electricity transfer access contract, then only the costs associated with the re-energisation under the Metering Code apply to the application.
- (e) Subject to clause 11.2(g), Western Power must determine, as a reasonable and prudent person, within 5 business days whether it will accept the request for reenergising.
- (f) If Western Power determines that it cannot accept the request for re-energising under clause 11.2(e), then:
 - (i) Western Power must notify the *applicant* that it must submit, or procure that its *controller* submits, a *connection application*; and
 - (ii) the priority date of such connection application shall be determined:
 - (A) if a complete connection application is received by Western Power within 20 business days of the notice sent to the applicant under clause 11.2(f)(i), from the date Western Power received the electricity transfer application under clause 11.2(a); and
 - (B) otherwise, from the date Western Power received the *complete* connection application.
- (g) Nothing in clause 11.2 derogates from the obligations of Western Power to reenergise a connection point within the timeframes specified in clause 8.2 of the Code of Conduct for the Supply of Electricity to Small Use Customers 2004 or regulations 7 and 8 of the Electricity Industry (Obligations to Connect) Regulations 2005.

12. Electricity transfer application to obtain a new access contract

- (a) An applicant who seeks a new access contract, other than under clauses 8 to 11, may make an electricity transfer application by notice to Western Power.
- (b) If an applicant makes an application under clause 12(a), then:

- (i) if the applicant seeks a standard access contract, then the lodgement fee for a new access contract applies to the application; or
- (ii) if the *applicant* seeks an access *contract* that is materially different to a standard access *contact*, then clause 7.2 applies to the *application*.

13. Contestability assessment

13.1 Western Power must perform contestability assessment

- (a) When:
 - (i) an applicant makes an electricity transfer application or a connection application to establish a new exit point; or
 - (ii) an incoming retailer makes a customer transfer request with regard to an exit point,

Western Power must determine if the *exit point* is, or will be, *contestable* under clause 13.2.

(b) Western Power must perform an assessment under this clause 13 within 5 business days of the event that triggered the assessment.

13.2 Rules for contestability

Western Power must determine that an exit point is contestable where:

- (a) Western Power has previously determined that the exit point is contestable; or
- (b) the latest 12 months' actual consumption at the exit point is equal to or greater than the contestability threshold; or
- (c) the latest 12 months' actual consumption at the exit point is below the contestability threshold, or 12 months actual consumption data does not exist, but Western Power considers, as a reasonable and prudent person, that the consumption during the next 12 months will be above the contestability threshold,

and otherwise Western Power must determine that the exit point is not contestable.

13.3 Rejection of application

Where Western Power is not authorised under the *Act* or other *written law* to make an *access offer* for an *application* relating to an *exit point* that is not *contestable*, Western Power must reject the *application*.

(Note: Under section 54 of the *Electricity Corporations Act* 2005 Western Power is prohibited from making an access offer to an applicant to provide covered services to that applicant at or for an exit point that is not contestable, except where the applicant

is the 'Electricity Retail Corporation' (as defined in section 3 of the Electricity Corporations Act 2005) or a subsidiary of the Electricity Retail Corporation.}

14. Connection point configuration

14.1 Rules for mapping network assets to a single connection point

Western Power must comply with the following when determining the configuration of a connection point:

- (a) the proposed configuration must meet the WA Electrical Requirements, made pursuant to regulation 49 of the Electricity (Licensing) Regulations 1991; and
- a connection point may be associated with one or more revenue meters which measure and record energy data, or none if it is an unmetered connection point; and
- (c) if the connection point is associated with more than one revenue meter, they must be either all interval meters or all accumulation meters, and not a combination of interval meters and accumulation meters; and
- (d) a connection point may comprise be more than one attachment point to the network provided that, if each attachment point is to the same lot or premises and is operated at the same voltage; and
- (e) a connection point must have one and only one controller at the connection point;and
- (f) a connection point must have only one type of exit service, if any, and only one type of entry service, if any, and only one type of bidirectional service, if any; and
- (g) a connection point must have only one applicable loss factor.

14.2 One NMI per connection point

Western Power must allocate one NMI per connection point.

14.3 Combining multiple connection points into a single connection point

- (a) A person may make an electricity transfer access application to have multiple connection points supplying a single premise or adjacent premises of a single commercial or industrial complex combined into a single connection point, subject to clause 14.1, by notice to Western Power.
- (b) The *lodgement fee* for a new connection point applies to an application made under clause 14.1.

- (c) Where an *applicant* applies under clause 14.3(a), the *applicant* must demonstrate that the *connection points* are integral to a single business.
 - {For example, a supermarket acquiring adjacent *premises* to its existing *premises* with the intention of expanding its operation across these *premises* can combine the two *exit points* into a single *exit point.*}
- (d) A retailer must have verifiable consent from its customer before making an electricity transfer application to change the configuration of a connection point.
- (e) Western Power must determine, as a reasonable and prudent person, within 5 business days whether it will accept the *application*.
- (f) If Western Power determines that it cannot accept the *application* under clause 14.3(e), then:
 - (i) Western Power must notify the *applicant* that it must submit, or procure that its *controller* submits, a *connection application*; and
 - (ii) the *priority* <u>date</u> of such connection application shall be determined:
 - (A) if a complete connection application is received by Western Power within 20 business days of the notice sent to the applicant under clause 14.3(f)(i), from the date Western Power received the electricity transfer application under clause 14.3(a); and
 - (B) otherwise, from the date Western Power received the *complete* connection application.

14.4 Separating a single connection point to create multiple connection points

- (a) An applicant may make an electricity transfer application to divide a single connection point into multiple connection points, subject to clause 14.1.
 - {Note: This might occur, for example, to allow the new *connection points* to be migrated to a different *user's access contract.*}
- (b) Each connection point created under clause 14.4(a) must have its own metering equipment.
- (c) Western Power must determine the *contestability* of each new *exit point* created under clause 14.4(a) separately.
- (d) A retailer must have verifiable consent from its customer before making an electricity transfer application to change the configuration of a connection point.
- (e) Western Power must determine, as a reasonable and prudent person, within 5 business days whether it will accept the *application*.

- (f) If Western Power determines that it cannot accept the *application* under clause 14.4(e), then:
 - (i) Western Power must notify the *applicant* that it must submit, or procure that its *controller* submits, a *connection application*; and
 - (ii) the *priority* <u>date</u> of such connection application shall be determined:
 - (A) if a complete connection application is received by Western Power within 20 business days of the notice sent to the applicant under clause 14.4(f)(i), from the date Western Power received the electricity transfer application under clause 14.4(a); and
 - (B) otherwise, from the date Western Power received the *complete* connection application.

15. Time to perform obligations

15.1 Extension of time to perform obligations

- (a) If:
 - (i) Western Power (acting as a reasonable and prudent person) has requested further information from an applicant under clause 3.11 which it reasonably requires to process an electricity transfer application; and
 - the request was made as soon as Western Power became aware that it required the information; and
 - (iii) Western Power has expeditiously and diligently progressed the processing of the electricity transfer application before making the request, after receiving the information and (to the extent possible) between making the request and receiving the information,

then the time period for complying with any obligation under this *applications* and *queuing* policy is extended by an amount of time equal to the time taken by the *applicant* to comply with the request.

- (b) Without limiting the generality of clause 2.5, an applicant and Western Power may agree to extend any one or more of any of the time periods set out in this applications and queuing policy on one or more occasions, and:
 - (i) the time period is extended by the amount of time agreed; and
 - (ii) unless otherwise agreed, the time for complying with any other obligation is extended by the same amount of time.

15.2 Concurrent applications

Western Power must use reasonable endeavours to comply with the timeframes set out in this *applications* and *queuing* policy in respect of each *electricity* transfer application which is lodged with Western Power, whether or not it is processing more than one *electricity* transfer application concurrently.

Part C - Connection applications

16. Specific connection applications

16.1 Connection application for a new connection point

- (a) An applicant who seeks to create a new connection point or to install new generating plant at an existing connection point must:
 - submit a connection application on the connection application form that is applicable for the type of facilities and equipment to be connected at the connection point; and
 - (ii) submit, or procure that its *retailer* submits, an *electricity transfer application* under Part B of this *applications and queuing policy*.

16.2 Connection application for an increase or decrease of contracted capacity

- (a) If, after processing an electricity transfer application under clause 10.2, Western Power requires a connection application, then the user must submit or, if applicable, procure that its customer submits, a connection application on the connection application form that is applicable for the type of facilities and equipment that is connected at the connection point.
- (b) If a customer submits a connection application with respect to a connection point that will result in an increase to the contracted capacity of the customer's retailer for that connection point, then the customer must procure that its retailer submit an associated electricity transfer application under Part B of this applications and queuing policy.

16.3 Connection application to modify generating plant

If an applicant seeks to materially change the characteristics of generating plant connected at a connection point, then the applicant must complete those parts of the appropriate application form that deal with those characteristics, and include any additional information specified in the application form (which might include equipment schedules, drawings and computer models) that Western Power, as a reasonable and prudent person, might require to assess the impact of the modification on the network and other users.

16.4 Connection application to modify or augment the network

- (a) An applicant who seeks to modify or augment the network other than under clause 16.1 must submit a connection application on the applicable connection application form.
 - {Note: This might apply to, for example, a developer seeking to service a subdivision, a builder seeking a temporary supply, or a person seeking to relocate network assets.}
- (b) If there is no applicable application form provided for a connection application then the applicant may submit its connection application by notice to Western Power.

17. Lead time for connection applications

An applicant must endeavour to lodge a connection application to Western Power within a reasonable time before the requested services start date, having regard for:

- (a) the time required to determine if any works are required, and if so then the time required to plan, design, cost, approve, finance, construct and commission the works, including, if applicable, the time required to perform a regulatory test; and
- (b) the time required to finalise an access offer for the connection application; and
- (c) if the *applicant* has requested a derogation from the *technical rules*, then the time required to process this request.

Informal 17A. Pre-enquiry discussions

17A.1 Applicant may contact Western Power

Prior to lodging a connection A party considering making a connection application with Western Power, an applicant may contact Western Power, where the applicant to discuss a proposed connection application with Western Power. Western Power will provide reasonable assistance to such applicants but this will not include undertaking studies for the applicant.

17A.2 Informal discussions not binding

The discussions under this clause 17A are not binding on Western Power, and Western Power is not liable for any error or omission that is made as a reasonable and prudent person in the discussions under this clause 17A.

18. Enquiry stage

18.1 Compulsory enquiry notification

Where an applicant expects, in good faith, to proceed to a connection application, then prior to discuss lodging a connection application with Western Power, the applicant must lodge an enquiry with Western Power to notify Western Power of the proposed connection application, including to and may request a preliminary assessment under clause 19.3, and Western Power must engage in such discussions in good faith and use all reasonable endeavours to satisfactorily and promptly address any matters raised by the applicant.

47.218.2 Applicant may

request studies and information

An *applicant* may request Western Power to undertake system studies or perform other work necessary to assist the *applicant* in preparing its *connection application*, in which case:

- (a) Western Power must endeavour to perform such work within a reasonable time, provided it does not affect the timing and cost of processing applications currently in the gueue; and
- (b) for the purposes of processing applications currently in the queue, such work is to be disregarded; and
- (b) unused; and
- (c) clause 20 applies.

{This might occur, for example, if the applicant needs input into feasibility studies to determine which of its potential projects proceeds to an application.}

18.2A Western Power to issue an enquiry response letter at conclusion of enquiry stage

- (a) At the conclusion of the *enquiry* stage. Western Power must issue an *enquiry* response letter to the *applicant* setting out:
 - (i) a description of the information required for a complete application, and the results of any assessment that it may have carried out to indicate the extent of any spare capacity available to provide covered services.
 - (ii) the existence of any competing applications; and
 - (iii) any constraints known to Western Power on the ability of the network to provide the capacity proposed as contracted capacity in the connection application by the applicant.

(b) Western Power will endeavour to send the *enquiry* response letter to the *applicant* within 40 business days of the lodgement of the *enquiry*, or within 40 business days of completion of any system studies or other works requested by the *applicant* under clause 18.2.

47.318.3 Enquiry response

letter and discussions not binding

The <u>enquiry response letter and</u> discussions under this clause 18 are not binding on Western Power, and Western Power is not liable for any error or omission that is made as a <u>reasonable and prudent person</u> in the <u>enquiry response letter and</u> discussions under this clause 18.

18.4 Fee payable

At the time that the *applicant* lodges an *enquiry* under this clause 18, Western Power may charge a non-refundable fixed fee for processing the *enquiry*. For the avoidance of doubt, this is in addition to any other payment, charge for costs, or fee.

48.19. Reporting during the processing of the *connection application*

18.119.1 Initial response

- (a) Subject to clause 19.1(b), Western Power must provide an initial response to the applicant within 20 business days of receiving the applicant's connection application, specifying:
 - (i) the time by which Western Power will provide a preliminary assessment under clause 19.3 with regards to the *connection application* (if requested); and
 - (ii) the time by which Western Power expects to make an access offer; and.
 - (iii) whether the connection application has caused Western Power to give a notice under clause to any person or, if this cannot reasonably be determined in the timeframe to give the initial response, the time by which Western Power expects that it will be in a position to know whether the connection application will cause Western Power to give a notice under clause to any person.

(iii) unused

(b) If, by the time by which Western Power is required to give an *applicant* an *initial* response under clause 19.1, Western Power has given the *applicant* an access offer, Western Power is not required to provide an *initial* response to the *applicant*.

18.219.2 Initial response is

not binding

An *initial response* is not binding on Western Power, and Western Power is not liable for any error or omission, which is made as a reasonable and prudent person, in an *initial response*.

18.319.3 Preliminary

assessment

A preliminary assessment with regards to a *connection application* may consist of <u>an assessment as to:</u>

- (a) whether it is likely that there is sufficient spare capacity to provide the requested covered services or whether any works might be required to provide the covered services, including whether it is likely that any new connection assets will be required to provide the covered services requested in the application; and
- (a2) whether any other applications are competing with the application and the possible grouping of the application with competing applications into one or more competing applications groups; and
- (b) if it is likely that works will be required operational and technical details of the works; and
- (c) if it is likely that works will be required whether or not a contribution will likely be required from the applicant under the contributions policy and a good faith estimate of the approximate amount of the contribution; and
- (d) if it is likely that works will be required a good faith estimate of the likely time required for the planning, designing, approving, financing, construction and commissioning, as applicable, of any necessary augmentation or works; and
- (e) Western Power's proposal for processing the *application*, if applicable under clause 20.2.

18.419.4 Updates and

progress reporting

(a) An applicant must upon request byadvise Western Power (which request will not be made more frequently than once per month) provide a progress report to Western Power containing information in reasonable detail regarding its connection application, including whether if there has been any is a material change in any information previously provided by the applicant, as part of the applicant's application.

(b) Western Power must upon request by the applicant (which request must not be made more frequently than once per month, and must not be made less than 4one month following the provision of an initial response) provide a progress report to the applicant containing information in reasonable detail regarding the processing of the connection application, including whether there has been any material change in any estimates of scope, costs or times, either for processing the connection application or for any works that might result from the connection application, previously provided by Western Power.

49.20. Connection application costs

49.120.1 Applicant must

pay costs

- (a) If:
 - during informal discussions the applicant lodges an enquiry under clause 18
 e, anand the applicant requests Western Power to perform any system or other studies, prepare detailed cost estimates or do any other work to assist the applicant prior to the applicant lodging a connection application; er
 - (ii) an applicant has submitted a connection application, and has agreed for Western Power to perform any system or other studies, prepare detailed cost estimates or do any other work to process the application, under clause 20.2 or clause 20.3; or
 - (ii)(iii)an actual or prospective applicant has sought information or assistance from Western Power and Western Power has agreed to perform any system or other studies, prepare detailed cost estimates or do any other work to provide, or in connection with, that information or assistance,

then the *applicant* must, when requested by Western Power, pay to Western Power its reasonable costs incurred, or to be incurred within a reasonable timeframe, in processing the *enquiry* or *connection application* or otherwise undertaking the studies, cost estimates and work referred to in paragraphs (i), (ii) and/or (iii) above.

(b) The total of the costs referred to in clause 20.1(a) must not exceed a genuine preestimate of the reasonable costs which would be incurred by a prudent service provider, acting efficiently and in good faith, in accordance with good electricity industry practice, seeking to achieve the lowest practicable cost of processing the connection application.

- (b1) For the avoidance of doubt, Western Power may charge applicants other fees and charges in addition to the costs referred to in this clause, and the provisions of clause 20.1(b) do not apply to such other fees and charges. Such fees include the application fees referred to in clause 7.1, the enquiry fee referred to in clause 18.4, the preliminary offer processing fee referred to in clause 24.3, and the preliminary acceptance fee referred to in clause 24.5(b).
- (c) The costs referred to in clause 20.1(a) must not include any costs of Western Power in relation to an access dispute (which are to be awarded by the Arbitrator under Chapter 10 of the Code).

19.220.2 Processing

proposal

- (a) Where Western Power considers that to process a connection application, or in connection with any request for information or other assistance made to it by an actual or prospective applicant, it must perform any system or other studies, prepare detailed cost estimates or do any other works expects that it will seek to recover costs from an applicant under clause 20.1 or where an applicant requests a study under clause 20.3 then:
 - (i) Western Power must provide a proposal to the applicant outlining the scope, timing and a good faith estimate of the likely costs to be incurred for processing the connection application and/or otherwise undertaking the studies, cost estimates or other works; and
 - (ii) the applicant may request amendments to the scope of work in the proposal, in which case Western Power and the applicant must negotiate in good faith with the applicant regarding the proposal. In the case of a connection application which has been lodged, if Western Power and the applicant have not agreed within 60 business days on the scope of the work in the proposal. then the connection application and any associated electricity transfer application will be deemed to have been withdrawn; and

{Note: This might occur, for example, where the *applicant* is able to perform some of the works itself.}

(iii) the applicant may reject the proposal, and in such which case where a connection application has been lodged then the connection application and any associated electricity transfer application are deemed to have been withdrawn; and

- (iv) (if applicable) the applicant may at any time request Western Power to cease processing the connection application, in which case the connection application and any associated electricity transfer application are deemed to have been withdrawn and Western Power must cease all work on the applications.
- (b) Where Western Power spends the costs paid to it by an applicant under clause 20.1(a) in processing the connection application or otherwise undertaking the requested cost estimates, studies or other work and requires further payment to cover its actual costs in completing the proposal, then it will notify the applicant of the reasons for these higher costs and will make a proposal for payment of such additional costs, and Western Power's proposal under this clause will be dealt with under clause 20.2(a) as though it was an original proposal.
- (c) Where Western Power has charged an applicant costs under clause 20.1(a), then at the time of making an access offer to that applicant or at the time an application is withdrawn (whichever is earlier):
 - (i) If Western Power's actual costs are less than the costs that it has charged.

 Western Power must refund the unexpended portion of those costs; or
 - (i)(ii) If Western Power's actual costs are more than the costs that it has charged.

 Western Power may charge an additional fee to cover the reasonable costs in excess of the fee it charged, and the applicant must pay any such additional fee.
- (d) To avoid doubt, in this clause 20.2 references to an applicant may extend to a prospective applicant.

20.3 Applicant-specific solution option

- (a) An applicant may request Western Power to perform a study of the nature and costs of an applicant-specific solution to satisfy the connection application. Subject to agreement being reached under clause 20.2(a) in respect of that study, the applicant must pay the costs of that study.
- (b) Once Western Power has completed the study, it must provide:
 - (i) existing users that Western Power considers may be impeded; and
 - (ii) any competing applicant that is within the same competing applications group as the applicant.

with the opportunity to object to providing the applicant-specific solution to the applicant.

- (c) An existing user and competing applicant may object to the applicant-specific solution on the grounds that the applicant-specific solution would impede Western Power's ability to provide covered services to that existing user or to provide the covered services that are sought in a competing application to a competing applicant compared with what the position would be if the applicant-specific solution were not implemented.
- (d) Western Power will evaluate the objection within 40 business days of it being lodged and if it agrees that the applicant-specific solution would impede Western Power's ability to provide covered services to an existing user or to provide the covered services that are sought in the other connection application to a competing applicant, then it must either decline to offer an applicant-specific solution to the applicant or modify the applicant-specific solution so that the applicant-specific solution would not impede Western Power's ability to provide covered services to an existing user or the covered services that are sought in another connection application to a competing applicant. If Western Power elects to modify the applicant-specific solution then it must provide a further opportunity to object under clause 20.3(c) to existing users and competing applicants that Western Power considers may be impeded by the applicant-specific solution.

(e) If:

- (i) no objections are made to an applicant-specific solution; or
- (ii) Western Power evaluates under clause 20.3(d) that an applicant-specific solution (whether the original applicant-specific solution or a further applicant-specific solution developed following modification under clause 20.3(d) above) would not impede Western Power's ability to provide covered services to an existing user or to provide the covered services that are sought in another connection application to a competing applicant,

then Western Power must make an access offer to the applicant based on the applicant-specific solution identified in this clause 20.3(e).

20.4 Disputes may be referred to Arbitrator

A dispute between an *applicant* and Western Power regarding a cost under clause 20 may be referred by either party to the *Arbitrator* under section 10.13 of the *Code* (expedited hearings) for determination, in which case the *Arbitrator* may either affirm the amount or reduce it.

20.5 Use of Engineering Firms to provide Studies

(a) An applicant may ask Western Power to permit an engineering firm to conduct a system or other study under this clause 20.

- (b) Western Power will not unreasonably disagree to a request from an *applicant* to use an engineering firm to conduct a system or other study, and where Western Power does disagree. Western Power will provide written reasons explaining why it has disagreed.
- (c) Where Western Power agrees under clause 20.5(a) to a request from an applicant, then where this access and queuing policy refers to a study done or to be done by Western Power, the reference to Western Power will be taken as a reference to the engineering firm.
- (d) Prior to permitting the engineering firm to conduct a system or other study. Western Power may require the engineering firm to enter into a confidentiality agreement.
- (e) Where Western Power agrees under clause 20.5(a) to a request from an applicant.
 Western Power will provide the engineering firm with all reasonable information and cooperation to enable the engineering firm to conduct the system or other study.
- (f) Western Power reserves the right to require amendments to a system or other study completed by an engineering firm where the system or other study does not provide the information that Western Power considers that Western Power requires from the system or other study.
- (g) Nothing in this clause 20.5 removes Western Power's right to charge *applicants* under clause 20 for Western Power's costs of processing applications, including but not limited to Western Power's costs under clause 20.5(e) and clause 20.5(f).

20A. Unpaid fees or charges

Where any fees or charges under this access and queuing policy remain unpaid by an applicant more than 60 business days after they are levied or charged, then Western Power will send a final notice to the applicant demanding payment of the fees or charges ("final notice"). Where the applicant has not paid the fees or charges within 7 business days of the date of Western Power's final notice, the applicant's application and any associated electricity transfer application are deemed to be withdrawn.

20.21. Contributions policy applies

If, during the processing of the connection application, Western Power determines that works are required to provide the covered services sought in the connection application, then the contributions policy applies to the connection application.

21.22. First come, first served principle Unused

Subject to the *queuing rules*, Western Power must ensure that connection applications are processed in accordance with the *first come*, *first served* principle.

22.23. Release of contracted capacity

Without limiting the circumstances by which spare capacity becomes available on the network, when an existing user reduces contracted capacity at one connection point and that reduction increases spare capacity, then any application for that spare capacity must be processed by Western Power in accordance with clause 2224 and clause 24A, regardless of whether the user makes a concurrent connection application at that or another connection point.

24.Queuing rules

24.1When queuing rules apply

(a)The queuing rules apply only where there are competing connection applications.

(b) The queuing rules apply to determine the priority of an applicant's connection application in the queue.

24.2Initial priority of a connection application

(a)Subject to clauses 24.3 and 24.10, the priority of an applicant's connection application in a queue is to be determined by reference to the time at which the application is lodged (which is the time at which Western Power actually receives the connection application) or deemed to be lodged under this applications and queuing policy, as applicable.

(b)If an applicant submits more than one connection application, then the applicant has a different priority in respect of each connection application, and every reference in the queuing rules to the applicant's priority is to be read as a reference to the applicant's priority in respect of the relevant connection application.

24.3When queuing rules do not apply

The queuing rules do not apply to a transition application.

24.4More than one queue

Under clause 24.2(a), there may from time to time be more than one queue in respect of a network.

(Example: One group of applications may relate to new generation projects in one part of a network and another group of applications may relate to new consumers at an industrial area at a different part of the network and each group of applications may be in a separate queue.)

24.5 When bypass is permitted

Subject to the process in clauses 24.7 to 24.9, bypass is permitted:

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(a)to the extent necessary to better achieve the Code objective; or

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(b)to the extent necessary to allow a supplier of last resort (as defined in the section 67 of the Act) to comply with its obligations under Part 5 of the Act; or

(c)to the extent necessary to allow a default supplier (as defined in the section 59 of the Act) to comply with its obligations under section 59 of the Act; or

(d)if required under a Law.

24.6When the bypass test might be satisfied

Without limiting clause 24.5, circumstances where the *bypass* test in clause 24.5 might be satisfied include:

(a)where a connection application that has earlier priority in a queue cannot, and a connection application with later priority can, presently proceed to a signed access contract or otherwise progress through the applications process, for example because:

(i)the applicant with earlier priority has not obtained environmental or other approvals that it requires in order to proceed; or

(ii)of delays in processing the connection application that has earlier priority caused by the arbitration of an access dispute;

Or

(b)where an applicant fails to use reasonable endeavours to progress its connection application in accordance with this applications and queuing policy; or

(c)where the connection application is frivolous, vexatious or was not made in good faith.

24.7Western Power to give notice

If Western Power considers that the *bypass* test in clause 24.5 is satisfied in relation to a connection application, it must give the applicant a notice (subject to clause 6.2) setting out in reasonable detail the basis on which Western Power considers that the *bypass* test in clause 24.5 is satisfied and requiring the *applicant* to either:

(a)if possible, progress the application; or

(b)otherwise provide information to Western Power demonstrating why the connection application should not be bypassed.

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24.8Western Power may bypass after fresh determination

At least 20 business days after giving a notice under clause 24.7, Western Power must make a fresh determination, having regard to all relevant material, including anything which has occurred, and any information provided, since the notice was given under clause 24.7, whether the bypass test in clause 24.5 is satisfied. If Western Power considers that the bypass test in clause 24.5 is satisfied, it may bypass the connection application to the extent permitted under clause 24.5.

24.9Western Power to provide reasons for bypass

If Western Power bypasses a connection application under clause 24.8, Western Power must (subject to clause 6.2) provide reasons to the applicant for its decision to bypass the connection application including information in reasonable detail explaining on what basis Western Power determined that bypassing the connection application was necessary to better achieve the Code objective under clause 24.5.

24.10 Connection applications in relation to tender projects

(a)If:

(i)two or more applicants notify Western Power that they are competing under a tender process, with respect to new generating plant; and

(ii)only the applicant that is successful in its bid will proceed with an access contract,

then Western Power must assign the same priority to those of the connection applications that are competing, equal to the priority of the earliest such connection application.

(b)|f an applicant who has been unsuccessful in a tender process under clause 24.10(a) decides to continue with a connection application, then the priority of the connection application become the priority the connection application would have had based on the date the applicant submitted the connection application.

24.11Processing of connection applications not affected

Nothing in the queuing rules prevents Western Power from processing more than one connection application concurrently.

24.12Priority of withdrawn connection applications

An application which is withdrawn or deemed by this applications and queuing policy to have been withdrawn, loses its priority under the queuing rules, even if it is subsequently amended or resubmitted.

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24.13Priority of amended connection applications

- (a)Subject to clause 24.13(b), an amended connection application has the same priority as the original connection application.
- (b)Subject to clause 24.13(e), if an amended connection application is materially different from the original connection application, and if the difference is such that an applicant whose competing application has a date of priority subsequent to the original connection application is materially prejudiced in terms of the likelihood, timing, cost and terms of its obtaining access (compared with that later applicant's position with respect to the original connection application), then:

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- (i)if it is possible to construe the amended connection application as a combination of the original connection application and a notional supplementary connection application (whether for further capacity or otherwise), the original connection application retains its priority and the notional supplementary connection application has priority according to the time of amendment; but
- (ii)otherwise—the amended connection application has priority according to the time of amendment.
- (c)For the purposes of clause 24.13(b), without limiting the ways in which an amended connection application may be materially different from the original connection application, an amended connection application is not materially different from the original connection application if the capacity sought in the amended connection application is less, or less than 5% more than, the capacity sought in the original connection application.

24.14Dormant applications

- (a)Where Western Power holds the opinion as a reasonable and prudent person that it is unlikely that an access offer will be signed in respect of an applicant's dormant application, and Western Power has received a competing application, then Western Power must give the applicant a notice requiring the applicant to provide information to Western Power demonstrating why the dormant application should not be taken to have been withdrawn by the applicant.
- (b)At least 20 business days after giving a notice under clause 24.14(a), Western Power must make a fresh determination, having regard to all relevant material including anything which has occurred, and any information provided, since the notice was given under clause 24.14(a) whether the dormant application should be taken to have been withdrawn by the applicant.
- (c)If Western Power makes a determination under clause 24.14(b) that the dormant application should be taken to have been withdrawn by the applicant then the dormant application is deemed to have been withdrawn by the applicant.

24.15Existing access contracts and determination of spare capacity

In determining whether there is sufficient spare capacity to provide covered services requested in a connection application, Western Power must assume that any existing access contract will be renewed in accordance with the terms of that access contract.

24.16Provision of information about position in queue

Western Power must make known to any applicant with a connection application in a queue, or to any existing user with a conditional access contract under clause 4.8:

(a)in respect of each competing connection application in the queue:

(i)the fact that the competing connection application exists in the queue; and

(ii)whether the competing connection application is ahead of, or behind, the applicant's position in the queue;

and

(b)a description of the circumstances which caused the connection applications in the queue to be competing connection applications (including information in reasonable detail regarding the aggregated capacity requirements of those competing applications which are ahead of the applicant in the queue); and

(c)the likely time until the making of an access offer and the commissioning of any necessary augmentation in respect to the competing connection application; and

(d)except to the extent that it is prevented from doing so by clause 6.2, in respect of each competing application in the queue:

(i)the capacity requirements of the competing connection application; and

(ii)the geographic location at which the competing connection application seeks the capacity; and

(iii)reasonable details regarding any augmentation required by the competing connection application.

24.17When Western Power is to provide queue information

Western Power must provide the information in clause 24.13:

(a)as part of the *initial response* to an *application* to the extent Western Power is reasonably able to do so, but in any case as part of the preliminary assessment under clause 19.3 with respect to an *application*; and

(b)at any time after a reasonable request by the applicant for updated information; and

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(e)as soon as practicable after a material change in the information previously notified under this clause 24.17, including when information of the kind referred to in clause 24.16(d) which was previously withheld on the ground that Western Power was prevented from doing so by clause 6.2 is no longer entitled to be withheld on that ground.

24. Where there are competing applications

24.1 Formation of competing applications groups

- (a) Where Western Power assesses that an application is competing with other applications, then Western Power may elect to manage competing applications by forming them into one or more competing applications groups and assessing a single set of works for shared assets required to meet some or all of the requirements of each competing applications group. To avoid doubt where there are more than two competing applications Western Power may form all the competing applications into one competing applications group or it may form them into two or more competing applications groups as Western Power considers appropriate given the nature of the applications.
- (b) An application may be sorted into more than one competing applications group where Western Power considers this appropriate given the nature of the application (for example where the application competes with certain other applications in respect of one network constraint and with certain other applications in respect of another network constraint).

24.2 Notice of intention to prepare a preliminary access offer

Where Western Power considers that a single set of works for shared assets may meet some or all of the requirements of the applicants within a competing applications group, it will issue a notice of intention to prepare a preliminary access offer to all applicants within that competing applications group, and charge a preliminary offer processing fee.

24.3 Response to notice of intention to prepare a preliminary access offer

Applicants must respond to the notice issued under clause 24.2 within 30 business days by:

- (a) agreeing to have their application considered within a competing applications group and paying the preliminary offer processing fee. By paying the preliminary offer processing fee, applicants demonstrate the good faith of their intention to proceed to an access contract, and as such the preliminary offer processing fee is nonrefundable. Where the applicant subsequently enters an access contract, the preliminary offer processing fee will be counted towards any contribution payable under the contributions policy, or where it exceeds any contribution payable under the contributions policy, the excess will be offset against amounts payable under that access contract; or
- (b) withdrawing their application.

Where applicants fail to respond to the notice issued under clause 24.2 within 30 business days, their application and any associated electricity transfer application will be deemed to be withdrawn.

24.4 Western Power's actions following response to the notice of intention to prepare a preliminary access offer

Following the response of applicants under clause 24.3 (if any), Western Power may, if it continues to consider that a single set of works for shared assets may meet some or all of the requirements of a competing applications group, make preliminary access offers to each applicant within the relevant competing applications group at the same time.

Western Power will endeavour to make such preliminary access offers to each applicant within the relevant competing applications group within 60 business days after issuing the notice under clause 24.2.

24.5 Response to preliminary access offers

- (a) Applicants must respond to the preliminary access offers within 30 business days after receipt of the preliminary access offers, by indicating in good faith in writing either:
 - (i) that it would accept such a preliminary access offer if it were an access offer ("preliminary acceptance"). For the avoidance of doubt, such a preliminary acceptance does not give rise to a contract; or
 - (ii) that it would reject such a preliminary access offer if it were an access offer and would request an amendment to the preliminary access offer. In this case Western Power and the applicant must negotiate in good faith regarding the form of the preliminary access offer, but if Western Power and the applicant have not agreed on the form of the preliminary access offer within 30 business days, then the connection application and any associated electricity transfer application will be deemed to have been withdrawn; or

- (iii) that it would not accept such a *preliminary access offer* if it were an access offer, in which case the *connection application* and any associated *electricity* transfer application are deemed to have been withdrawn.
- (b) Where applicants respond under either clause 24.5(a)(i) or clause 24.5(a)(ii), they must pay within 30 business days a preliminary acceptance fee to Western Power as a demonstration of good faith in their intention to proceed to an access contract. The preliminary acceptance fee is non-refundable but, where the applicant subsequently enters an access contract, the preliminary acceptance fee will be counted towards any contribution payable under the contributions policy, or where it exceeds any contribution payable under the contributions policy, the excess will be offset against amounts payable under that access contract.

24.6 Subsequent access offers

After reviewing the responses by all *applicants* to *preliminary* access offers under clause 24.5-above. Western Power will endeavour within 30 business days of receipt of responses by all *applicants* to *preliminary* access offers to:

- (a) make access offers to applicants within the competing applicants group. If

 Western Power makes access offers to applicants within the competing applicants
 group, it may make the access offers conditional on sufficient acceptance of the
 access offers by applicants to ensure that access can be provided to the
 applicants collectively for the costs nominated in the access offers; or
- (b) revise its preliminary access offer and submit those revised preliminary access offers to applicants; or
- where the sum of the preliminary acceptance by applicants within a competing applications group exceeds the capacity of the proposed works. Western Power may make access offers to applicants in the order of the priority date of applications until there is no more spare capacity. If Western Power fails to make an access offer to an applicant within a competing applications group, then notwithstanding any other provision in this access and queuing policy, the application will remain valid and retain its priority date and Western Power will refund any preliminary offer processing fee or preliminary acceptance fee paid by the applicant.

[Note: An access offer might not be made to an applicant under 24.6(c) because there is no more spare capacity after making access offers to applicants with earlier priority dates.]

24.7 Changing composition of competing applications group

- (a) Western Power may change the composition of a competing applications group:
 - (i) to remove, at any time, applicants within the competing applications group whose applications have been withdrawn or been deemed to be withdrawn:

- (ii) to add additional applications to a competing applications group, but where Western Power has already issued a notice of intention to prepare a preliminary access offer under clause 24.2 to applicants within a competing applications group, then Western Power will only add additional applications to that competing applications group where the additional applications can be added without delaying preparation of the preliminary access offer to the existing applicants.
- (b) Despite clause 24.7(a). Western Power may change the composition of a competing applications group at any time following changes regarding the nature or location of constraints following other network developments, changes in generation or changes in loads in which case Western Power may recommence the processes under this clause 24.

24.8 Determining extent of spare capacity

In determining whether there is spare capacity to provide covered services requested in a connection application or group of applications, Western Power must assume that any existing access contract will be renewed in accordance with the terms of that access contract.

24.9 Types of information

Western Power must make known to any *applicant* that has lodged an *application* with Western Power, or to any existing *user* with an *access contract* with conditions precedent which have not yet been satisfied or waived:

- (a) whether there are competing connection applications; and
- (b) a description of the circumstances which caused the connection applications to be competing connection applications (including information in reasonable detail regarding the aggregated capacity requirements of those competing connection applications); and
- (c) an estimate of the likely time until the making of an access offer; and
- (d) except to the extent that it is prevented from doing so by clause 6.2, in respect of each competing connection application:
 - (i) the capacity requirements of the competing connection application; and
 - (ii) the geographic location at which the competing connection application seeks the capacity; and
 - (iii) reasonable details regarding any augmentation required by the competing connection application.

24.10 When Western Power must update information

Western Power must provide the information in clause 24.9:

- (a) unused; and
- (b) at any time after a reasonable request by the *applicant*, or by any existing *user* with an *access contract* with conditions precedent which have not yet been satisfied or waived, for updated information; and
- (c) as soon as practicable after a material change in the information previously notified under this clause 24.10. including when information of the kind referred to in clause 24.9(d) which was previously withheld from disclosure on the ground that Western Power was prevented from deingdisclosing it so by clause 6.2 is no longer entitled to be withheld from disclosure on that ground.

24.11 Concurrent consideration

Nothing in clause 24 prevents Western Power from processing more than one connection application concurrently.

24.12 When clause 24 does not apply

The provisions in clause 24 do not apply to a transition application.

24A. Priority dates of applications in particular circumstances

24A.1 Withdrawn connection applications

An application which is withdrawn, or deemed by this applications and queuing policy to have been withdrawn, loses its *priority date*, even if it is subsequently amended or resubmitted.

24A.2 Tender projects

- (a) If:
 - (i) two or more applicants notify Western Power that they are competing under a tender process, with respect to new generating plant; and
 - (ii) only the applicant that is successful in its bid will proceed with an access contract.

then Western Power must treat each of the connection applications that are competing, as having the priority date of the earliest such connection application.

(b) If an applicant that has been unsuccessful in a tender process under clause 24A.2 decides to continue with a connection application, then the priority date of the connection application will become the date that the connection application would have had based on the date the applicant submitted the connection application.

24A.3 Amended connection applications

- (a) Subject to clause 24A.3(b), an amended connection application has the same priority date as the original connection application.
- (b) Subject to clause 24A.3(c), if an amended connection application is materially different from the original connection application, and if the difference is such that an applicant whose competing application has a priority date subsequent to the original connection application is materially prejudiced in terms of the likelihood, timing, cost and terms of its obtaining access (compared with that later applicant's position with respect to the original connection application), then:
 - (i) if it is possible to construe the amended connection application as a combination of the original connection application and a notional supplementary connection application (whether for further capacity or otherwise), the original connection application retains its priority date and the notional supplementary connection application has a priority date according to the time of amendment and will be treated for the purposes of this access and queuing policy as a separate application with that priority date; but
 - (ii) otherwise the amended connection application has a priority date according to the time of amendment.
- (c) For the purposes of clause 24A.3(b), without limiting the ways in which an amended connection application may be materially different from the original connection application, an amended connection application is not materially different from the original connection application if the capacity sought in the amended connection application is less, or less than 5% more than, the capacity sought in the original connection application.
- (d) Where an applicant has provided a response under clause 24.3 agreeing to have their application considered within a competing applications group following receipt of a notice of intention to prepare a preliminary access offer under clause 24.2 and where that applicant subsequently amends its connection application then Western Power may if it considers it appropriate (having regard to all relevant factors including the impact of the amendment on other members of the competing applications group and on Western Power) make or amend a Preliminary Access Offer based on the amended application.

(e) Where Western Power does not agree to make or amend the *Preliminary Access*Offer based on the amended application then in making *Preliminary Access*Offers Western Power will treat the relevant application on the basis that it has not been amended.

24A.4 Network Control Services

Western Power may make an access offer as a result of a procurement process for Network Control Services without regard to whether there are any competing connection applications.

25. Additional terms of the preliminary access offer or access offer

25.1 Terms under contributions policy

Western Power must include as terms of the <u>preliminary access offer or access offer</u>:

- (a) the amount of any contribution and other payments, such as rebates, determined under the contributions policy; and
- (b) any terms related to the provision of the contribution that the applicant has selected under the contributions policy.

25.2 Exemptions from technical rules

The terms related to any exemption to the *technical rules* determined under Chapter 1 of the *technical rules* must be included in the <u>preliminary access offer or access offer.</u>

26. Making the access offer

Western Power must, acting as a reasonable and prudent person, give an access offer to the applicant as soon as practicable after the complete connection application is lodged, having regard to the nature of the connection application—consideration of competing applications and the need (where applicable) for works involving shared assets in order for Western Power to be able to provide access in accordance with the Technical Code.

SCHEDULE 1 FORM OF GUARANTEE

DATE []

PARTIES

- 1. [### ACN ### a company registered in ### of ###] ("Guarantor"); and
- Electricity Networks Corporation ABN 18 540 492 861, a statutory body corporate established by paragraph 4(1)(b) of the Electricity Corporations Act 2005 (WA) of 363 Wellington Street, Perth, Western Australia ("Western Power").

RECITALS

- A. Western Power may in its discretion provide Services to [###] ("the User") under an Access Contract at the request of each of the User and the Guarantor.
- B. The Guarantor wishes to execute this Guarantee to secure payment of all amounts payable under the Access Contract to Western Power.

OPERATIVE PROVISIONS

(a) Guarantee

The Guarantor unconditionally and irrevocably Guarantees as a continuing security to Western Power payment by the User of all moneys and liabilities due and/or payable from or by the User to Western Power under or in connection with the contract dated [###] ("Access Contract") created between the User and Western Power ("Secured Moneys"), including moneys and liabilities incurred or arising:

- (i) (liability): at any present or future time, whether actually or contingently;
- (ii) (default): as a result of any breach of or default under the Access Contract; and/or
- (iii) (**account**): by way of principal, interest, cost, charge, expense, disbursement, fee, tax, stamp or other duty, indemnity, damages or monetary judicial order.
- (b) Secured Moneys
 - (i) Demand payment

The Guarantor must pay to Western Power, upon demand by Western Power at any present or future time, the amount of the Secured Moneys due from and payable by the User to Western Power at that time under, and in the manner and currency specified in, the Access Contract.

(ii) Costs

The Guarantor must at any present or future time indemnify Western Power upon demand for any cost, charge, expense, disbursement, fee, tax or stamp or other duty incurred by Western Power at any time in connection with the Access Contract, this Guarantee or the Secured Moneys relating to:

- (A) (security agreements): preparation, negotiation, execution or performance, or any termination, amendment, consent, claim, demand or waiver;
- (B) (security rights): any exercise or enforcement of any right or power conferred on Western Power;
- (C) (credit increases): any extension of further, additional or increased credit or financial accommodation by Western Power, or agreement by Western Power to increase the amount secured; and/or
- (D) (payments): the receipt or payment of any moneys, including moneys paid by Western Power by way of reimbursement to any third party.

(iii) Set-Off exclusion

The Guarantor must make any payment required under this Guarantee without setoff or other deduction, except for the deduction or withholding of any tax compelled by law.

(c) Indemnity

The Guarantor must as a separate and additional liability of the Guarantor as a principal debtor, and not as a surety, indemnify Western Power against, and pay to Western Power upon demand by Western Power an amount equal to, all Secured Moneys that are or may become invalid, unenforceable, illegal or irrecoverable for any reason or under any circumstances as a liability to Western Power by the Guarantor as a surety, despite any other provision of this Guarantee.

(d) Guarantee protection

This Guarantee, and the liability of the Guarantor under this Guarantee, is not affected at any time by:

- (i) (waiver): the granting to any person by Western Power of any waiver;
- (agreements): any agreement, deed or document created with, or action or omission performed, representation made or non-disclosure of any fact or information by, Western Power or any person;
- (iii) (Secured Moneys): any increase or variation in the amount of the Secured Moneys occurring for any reason;

- (iv) (document amendment): any amendment to or transfer, release or termination of any agreement, deed or document or any right, power or liability of any person under any agreement, whether for or without consideration;
- (v) (enforcement decisions): any exercise or enforcement, or any failure or invalidity in, the exercise or enforcement by Western Power of any right or power conferred on Western Power under any agreement, deed or document or by law;
- (vi) (invalidity): any actual or potential invalidity, unenforceability, illegality or irrecoverability of any agreement, deed or document or consent or any payment made or due to Western Power under any agreement for any reason;
- (vii) (incapacity): any incapacity or absence of power or authorisation of, or other fact relating to, any person in connection with the execution of any agreement, deed or document or otherwise, including any change in the constitution or membership of any person; or
- (viii) (**residual**): any other breach, default, waiver or fact which, except for this provision, might legally operate:
 - (A) to release or discharge or have any prejudicial effect on; or
 - (B) in any manner to release or discharge the Guarantor from performance of, or limit or provide a defence to any legal action to enforce,

this Guarantee, or any liability of the Guarantor under or in connection with this Guarantee.

(e) Termination

The Guarantor is not entitled to terminate or limit this Guarantee, or any liability of the Guarantor under this Guarantee, until the Secured Moneys have been paid in full.

(f) Governing Law

This Guarantee is governed by and construed under the law of the State of Western Australia.

(g) General

(i) Continuing Security

This Guarantee is a continuing security and is not wholly or partially discharged by the payment at any time of any Secured Moneys, settlement of account or other fact and applies to the balance of the Secured Moneys at any time until a final termination of this Guarantee by Western Power.

(ii) Further Assurance

The Guarantor must upon request by Western Power at any time execute any document and perform any action necessary to give full effect to this Guarantee, whether prior or subsequent to performance of this Guarantee.

(iii) Waivers

Any failure or delay by Western Power to exercise any right or power under this Guarantee does not operate as a waiver and the single or partial exercise of any right or power by Western Power does not preclude any other or further exercise of that or any other right or power by Western Power.