

Energy Coordination Act 1994

Gas Marketing Code of Conduct 2017 2022



Western Australia

Gas Marketing Code of Conduct 2017

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Energy Coordination Act 1994

Gas Marketing Code of Conduct 2017 2022

Approved by the Economic Regulation Authority.

Part 1 — Preliminary

1.1.	Title Citation	
		s code is
	the Gas Marketing Code of Conduct 2017 2022.	
1. 2.	Authority	
	This <i>Code</i> is made pursuant to Part 2C of the <i>Act</i> .	
1.3.	Commencement	
	The Code This code comes into operation upon the day	1
	prescribed by the Authority. as follows —	
	— (a) clauses 1 and 2 — on the day on which this co	<u>de is</u>
	published in the Gazette;	
	(b) the rest of the code — on 1 April 2022.	
1.4.	- Interpretation	
(1)	Headings and notes are for convenience or information	on only
	and do not affect the interpretation of the Code or of a	ny term or
	condition set out in the Code.	
(2)	An expression importing a natural person includes any	/
	company, partnership, trust, joint venture, association,	,

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corporation or other body corporate and any governmental agency and vice versa.

- (3) A reference to a document or a provision of a document includes an amendment or supplement to, or replacement of or novation of, that document or that provision of that document.
- (4) A reference to a person includes that person's executors, administrators, successors, substitutes (including, without limitation, persons taking by novation) and permitted assigns.
- (5) Other parts of speech and grammatical forms of a word or phrase defined in the *Code* have a corresponding meaning.
- (6) A reference to a *gas marketing agent* arranging a *contract* is to be read as a reference to a *gas marketing agent* entering into the *contract* on the *retailer's or customer's* behalf, or arranging the *contract* on behalf of another person (whichever is relevant).

1.5. Definitions

In the *Code*, unless the contrary intention appears

Act means the *Energy Coordination Act 1994*.

3. Terms used

In this code —

alternative tariff, for a small use customer, means a tariff other than the tariff under which the customer is currently supplied gas-;

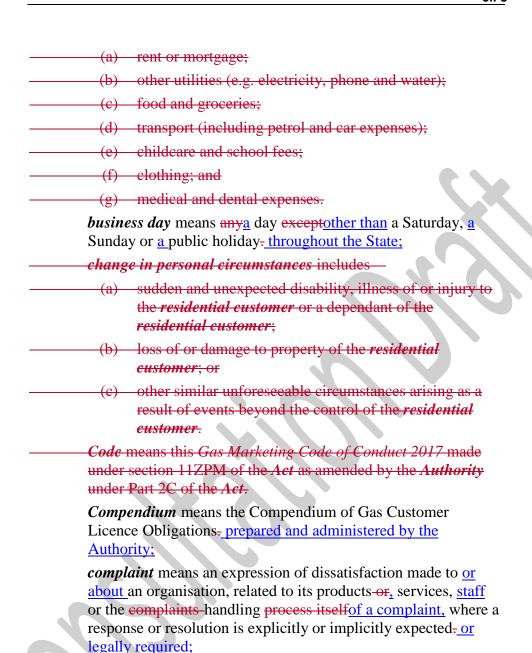
AS, followed by a designation, means an Australian Standard having that designation that is published by Standards Australia;

Australian Consumer Law (WA) means schedule 2 to the Competition and Consumer Act 2010 (Cth) as modified by section 36 of the has the meaning given in the Fair Trading Act (WA) 2010; section 17(1);

Authority means the Economic Regulation Authority established under the Economic Regulation Authority Act 2003.

basic living needs includes

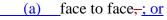
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only-, in relation to the supply of gas; contact means contact that is —

concession means a concession, rebate, subsidy or grant related to the supply of gas, which is, available to residential customers

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- (b) by telephone; or
- (c) by post, or facsimile; or
- (d) by email or other means of electronic communication—;

contract means a standard form contract or a non-_standard
contract-;

cooling—off period, in relation to a contract, means the period specified in the contract as the cooling—off period—;

customer means a customer who consumes less than 1 terajoule of gas per annum.

distributor-means a, in relation to a small-use customer who has entered into a contract, means the person who holds athe distribution licence under Part 2A of the Act-for the system through which gas is supplied to the customer under the contract;

emergency means an emergency due to the actual or imminent occurrence of an event which in any way endangers or threatens to endanger the safety or health of any person, in Western Australia or which destroys or damages, or threatens to destroy or damage, any property in Western Australia.

financial hardship means a state of more than immediate financial disadvantage which results in a residential customer being unable to pay an outstanding amount as required by a retailer without affecting the ability to meet the basic living needs of the residential customer or a dependant of the residential customer.

gas customer safety awareness program means a program to communicate information to customers regarding safety in the use of gas and must address, at a minimum, provision of the following information to customers—

- (a) information on the properties of gas relevant to its use by customers:
- (b) a notice of the requirement for proper installation and use of approved appliances and equipment;

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Part 1

a notice of the requirement to use only qualified trade persons for gas connection and appliance and equipment installation; the proper procedure for the reporting of gas leaks or appliance or equipment defects; and (e) safety procedures to be followed and the appropriate telephone number to call in case of emergency. gas industry ombudsman means the Energy and Water Ombudsman Western Australia performing the function of gas industry ombudsman under a scheme approved under Part 2D of the Act and an agreement under the Parliamentary Commissioner Act 1971 section 34; gas marketing agent means means a person who acts on behalf of a retailer for the purpose of obtaining new customers for the licensee; or (ii) in dealings with existing customers in relation to contracts for the supply of gas by the licensee; or and includes a representative, agent or employee of a person (b) referred to in paragraph (a).); but does not a person who isinclude a customer representative-; gas ombudsman means the ombudsman appointed under the scheme approved by the Authority pursuant to section 11ZPZ of the Act. Note for this definition: The energy ombudsman Western Australia is the gas ombudsman appointed under the scheme approved by the Authority pursuant to section 11ZPZ of the Act.

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by *telephone* or other electronic means

marketing includes engaging or attempting to engage in any of the following activities by any means, including door to door or

- (a) negotiations for, or dealings in respect of, a *contract* for the supply of gas to a *customer*; or
- (b) advertising, promotion, market research or public relations in relation to the supply of gas to *customers*.

marketing identification number means a unique number assigned by a retailer to each gas marketing agent acting on its behalf-:

National Interpreter Symbol means the national public information symbol "Interpreter Symbol" (with text) developed by the State of Victoria in partnership with the Commonwealth, State and Territory governments in accordance with AS 2342-1992;

non-standard contract means a contract entered into between a retailer and a customer, or a class of customers, that is not a standard form contract. has the meaning given in section 11WB of the Act;

payment difficulties means a state of immediate financial disadvantage that results in a residential customer being unable to pay an outstanding amount as required by a retailer by reason of a change in personal circumstances.

premises means premises owned or occupied by a new or existing *customer*.

public holiday means a public holiday in Western Australia.

residential customer means a means a small use customer who consumes gas solely for domestic use-;

retailer means a person who holds a trading licence under Part 2A of the Act.;

standard form contract means a contract that is approved by has the Authority under meaning given in section 11WF11WB of the Act.;

telephone means a device which is used to transmit and receive voice frequency signals.

TTY means teletypewriter.

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unsolicited consumer agreement is defined in section 69 of has the meaning given in the Australian Consumer Law (WA). section 69;

verifiable confirmation means confirmation that is given —to a retailer or a gas marketing agent —

- expressly; and (a)
- (b) in writing or orally; and
- by thea small use customer or a nominated person (c) competent to give the confirmation on the customer's behalf-;

verifiable consent means consent that is given —to a retailer or a gas marketing agent —

- expressly; and (a)
- (b) in writing or orally; and
- by a small use customer or a nominated person competent to give the consent on the customer's behalf: and
 - after the retailer or gas marketing agent (whichever is (d) relevant) has, in plain language appropriate to that the customer, disclosed all matters materially relevant to the giving of the consent, including each specific purpose for which the consent will be used; and.
- by the *customer* or a nominated person competent to give consent on the customer's behalf.

[Clause 1.5 amended: Gazette 20 Sep 2019 p. 3381.]

Application

The Code applies to

- retailers; and
- gas marketing agents.

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cl. 3

1.7. Purpose

The *Code* regulates and controls the conduct of *gas marketing agents* and *retailers*.

Note for this clause:

Other terms used in this code have the same meanings as in the Energy Coordination Act 1994. See the Energy Coordination Act 1994 sections 3 and 11ZPL in particular, and the Interpretation Act 1984 section 44.

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Part 2 — Marketing

Note for this Part:

This <u>Codecode</u> is not the only compliance obligation in relation to marketing. Other State and Federal laws apply to marketing activities, including, but not limited to, the Fair Trading Act 2010 (WA), the Spam Act 2003 (Cth_Commonwealth), the Spam Regulations 2004 (Cth_2021 (Commonwealth), the Do Not Call Register Act 2006 (Cth_Commonwealth), the Telecommunications (Do Not Call Register) (Telemarketing and Research Calls) Industry Standard 2007 (Cth_2017 (Commonwealth)) and the Privacy Act 1988 (Cth_Commonwealth).

1.8. Objectives

The objectives of the *Code* are to

- (a) define standards of conduct in the *marketing* of gas to *customers*; and
- (b) protect customers from undesirable marketing conduct.

1.9. Amendment and Review

The *Code* will be amended in accordance with Part 2C of the Act.

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Part 2 Marketing

Division 1 Retailer obligations

cl. 4

Part 2 — Marketing

Division 1 — Obligations particular to retailers Retailer obligations

2.14. Retailers tomust ensure representatives gas marketing agents comply with this Part

A retailer must ensure that its gas marketing agents comply with this Part.

Division 2 — Contracts and information to be provided to **small** use customers

2.25. Entering into a standard form contract

- (1) When entering a retailer and a small use customer enter into a standard form contract that is not an unsolicited consumer agreement, athe retailer or a gas marketing agent must—
 - (a) record the date <u>on which</u> the standard form contract was entered into; and
 - (b) give, or make available to the <u>small use</u> customer at no charge, a copy of the standard form contract
 - (i) at the time the *standard form contract* is entered into, if the standard form contract was notis entered into over the by telephone; or
 - (ii) ___ as soon as possible, but not more than 5 business days, after the standard form contract wasis entered into, if the standard form contract was entered into over the telephone.; or
 - (ii) otherwise at the time the standard form contract is entered into.
- (2) Subject to Unless subclause (3), applies, if a small use customer enters into a standard form contract described in subclause (1), with a retailer, the retailer or a gas marketing

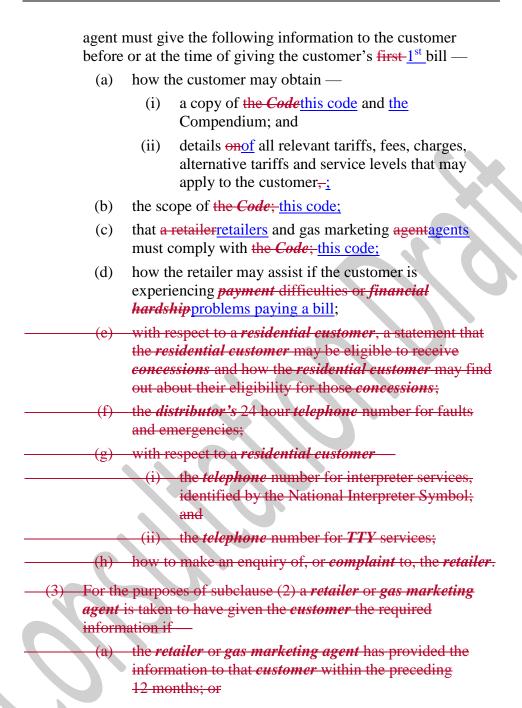
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Part 2

Contracts and information to be provided to small use customers

Division 2

cl. 5



Contracts and information to be provided to small use

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Marketing

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Marketing

Part 2

Contracts and information to be provided to small use customers

Division 2

cl. 5

must give the following information to the customer before or at the time of giving the customer's first bill (a) how the *customer* may obtain (i) a copy of the *Code* and the *Compendium*; and details on all relevant tariffs, fees, charges. alternative tariffs and service levels that may apply to the customer; the scope of the Code; that a retailer and gas marketing agent must comply with the Code; (d) how the retailer may assist if the customer is experiencing payment difficulties or financial hardship; with respect to a residential customer, the case of a residential customer — a statement that the residential customer may be eligible to receive concessions and how the residential customer may find out about their eligibility forto receive those concessions; the distributor's 24-hour telephone number for faults and (f) emergencies; with respect to in the case of a residential customer — (g) the telephone number for interpreter services, identified by the National Interpreter Symbol; the telephone number (or numbers) for **TTY** services that can assist customers with a speech

(h) how to make an enquiry of, or complaint to, the retailer.

(3) For the purposes of subclause (2A), aA retailer or a gas marketing agent is taken to have given the customer the not

or hearing impairment;

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Part 2 Marketing

Division 2 Contracts and information to be provided to small use

customers

cl. 6

required to give the information set out in subclause (2) to a small use customer if —

- (a) the retailer or <u>a</u> gas marketing agent has provided given the information to <u>the customer within the preceding</u> 12 months; or
- (b) the retailer or gas marketing agent has informed the customer how the customer may obtain the information and the customer has not requested to be given the information.

6. Entering into non-standard contract

- (1) When a retailer and a small use customer enter into a non-standard contract that is not an unsolicited consumer agreement, the retailer or a gas marketing agent must—
 - (a) obtain and make a record of the verifiable consent of the small use customer with whom the non-standard contract is entered into; and
 - (b) give, or make available to the small use customer at no charge, a copy of the non-standard contract
 - (i) if the non-standard contract is entered into by telephone as soon as possible, but not more than 5 business days, after the non-standard contract is entered into; or
 - (ii) otherwise at the time the non-standard contract is entered into.
- (2) Before entering into a non-standard contract with a small use customer, a retailer or a gas marketing agent must give the small use customer the following information
 - (a) that the customer is able to choose the standard form contract offered by the retailer;
 - (b) the difference between the non-standard contract and the standard form contract;
 - (c) details of any right the customer may have to rescind the non-standard contract during the cooling-off period and

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Gas Marketing Code of Conduct 2017 2022

Marketing

Part 2

Contracts and information to be provided to small use customers

Division 2

cl. 6

the charges that may apply if the customer rescinds the non-standard contract. Unless subclause (4) applies, if a small use customer enters into a non-standard contract with a retailer, the retailer or a gas marketing agent must give the following information to the customer before or at the time of giving the customer's 1st bill — (a) how the <u>customer may obtain</u> a copy of this code and the Compendium; and (ii) details of all relevant tariffs, fees, charges, alternative tariffs and service levels that may apply to the customer; the scope of this code; (b) that retailers and gas marketing agents must comply with (c) this code; how the retailer may assist if the customer is (d) experiencing problems paying a bill; the distributor's 24-hour telephone number for faults and (e) emergencies; (f) in the case of a residential customer the telephone number for interpreter services, identified by the National Interpreter Symbol; and the telephone number (or numbers) for services that can assist customers with a speech or hearing impairment; how to make an enquiry of, or complaint to, the retailer. A retailer or a gas marketing agent is not required to give the information set out in subclause (3) to a small use customer (a) the retailer or gas marketing agent has given the information to the customer within the preceding

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12 months; or

Part 2 Marketing

Division 3 Marketing conduct

cl. 7

- (b) the retailer or gas marketing agent has informed the customer how the customer may obtain the information, unlessand the customer requests has not requested to receive given the information.
- (45) A retailer or <u>a</u> gas marketing agent must obtain the <u>small use</u> customer's verifiable confirmation that the information referred to in subclause (2) has been given.

[Clause 2.3 amended: Gazette 20 Sep 2019 p. 3382-3.]

Division 3 — Marketing conduct

2.47. Standards of conduct

- (1) A retailer or a gas marketing agent must ensure that the inclusion of concessions is made clear to residential customers of the retailer and that any prices that exclude concessions are disclosed.
- (2) A retailer or <u>a</u> gas marketing agent must ensure that a <u>small use</u> customer <u>of the retailer</u> is able to contact the retailer or gas marketing agent on the retailer's or gas marketing agent's contact details, including telephone number, during the normal business hours of the retailer or gas marketing agent for the purposes of enquiries, verifications and complaints.

2.58. Contact for the purposes of marketing

- (1) A retailer or gas marketing agent who makes contact with acontacts a small use customer for the purposes of marketing must, on request by the customer, provide
 - (a) provide the customer with the complaints telephone number of the retailer on whose behalf the contact is being made; and
 - (b) provide the customer with the telephone number of the gas industry ombudsman; and

- for contact by in the case of a gas marketing agent, provide — the customer with the gas marketing agent's marketing identification number.
- A retailer or gas marketing agent who meets with a small use (2) customer face to face for the purposes of marketing must
 - weardisplay a clearly visible and legible identity card (a) that shows
 - his or her the first name; of the person who is (i) meeting with the customer; and
 - (ii) his or hera photograph; of the person who is meeting with the customer; and
 - his or her in the case of a gas marketing agent (iii) the agent's marketing identification number (for contact by a gas marketing agent);; and
 - the name of the retailer on whose behalf the (iv) contact is being made; and

and

- on request by the customer, provide the (b) eustomer following information, in writing, to the customer-
 - (i) his or herthe first name of the person who is meeting with the customer;
 - his or her in the case of a gas marketing agent the agent's marketing identification number (for contact by a gas marketing agent);;
 - the name of the retailer on whose behalf the (iii) contact is being made;
 - the complaints telephone number of the retailer on whose behalf the contact is being made;
 - the business address and Australian Business or Company Number of the retailer on whose behalf the contact is being made; and

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- (vi) the telephone number of the gas <u>industry</u> ombudsman;.
- (3) A retailer or gas marketing agent must comply with a request under subclause (2)(b) as soon as practicable following a request by the *customer for the information* after it is made.
- 2.6. No canvassing or advertising 9. Compliance with signs

A retailer or gas marketing agent who visits a person's premises for the purposes of marketing must comply with any clearly visible signs at athe person's premises indicating —

- (a) that canvassing is not permitted at the premises; or
- (b) that no advertising or similar material is to be left at the premises or in a letterbox or other receptacle at, or associated with, the premises.

Division 4 — **Miscellaneous**

2.710. Compliance with code

(1) A gas marketing agent who contravenes a provision of this *Code* code commits an offence.

Penalty—for this subclause:

- (a) for an individual, \$5 000;
- (b) for a body corporate, \$20 000.
- (2) If a gas marketing agent of a *retailer* contravenes a provision of this *Code* code while acting on behalf of a retailer, the retailer commits an offence.

Penalty—for this subclause:

- (a) for an individual, \$5 000;
- (b) for a body corporate, \$20 000.
- (3) It is a defence to a prosecution for a contravention of an offence under subclause (2) if the retailer proves that the retailer used

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reasonable endeavours to ensure that the gas marketing agent complied with this *Code* code.

2.811. Presumption of authority

- A (1) This clause applies to a person who carries out any marketing activity in the name of or for the benefit of
 - (a) a retailer; or
 - (b) a gas marketing agent,
- (2) The person is to be taken, unless the contrary is proved, to have been employed or authorised by the retailer or gas marketing agent to carry out that the marketing activity.

2.912. Gas marketing agent complaints

A gas marketing agent must —

- (a) keep a record of each complaint made by a <u>small use</u> customer, or person contacted for the purposes of marketing, about the marketing carried out by or on behalf of the gas marketing agent; and
- (b) on request by the gas <u>industry</u> ombudsman in relation to a particular complaint, give to the gas <u>industry</u> ombudsman, within 28 days <u>of after</u> receiving the request, all information that the gas marketing agent has relating to the complaint.

2.1013. Records tomust be kept

A record or other information that a gas marketing agent is required by this *Code* to keep <u>under this code</u> must be kept for at least 2 years <u>afterfrom</u> the last time <u>that there was contact</u> <u>between</u> the person to whom the <u>record or other</u> information relates <u>was contacted</u> by or on <u>behalf of and</u> the gas marketing agent.

[Clause 2.10 amended: Gazette 20 Sep 2019 p. 3384.]

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