

**Mumbida Wind Farm 2021 Performance Audit and Asset Management System Review, Electricity Generation Licence,  
POST AUDIT IMPLEMENTATION PLAN**

Reference (no./year)	Non-compliance / Controls improvement (Rating / Licence obligation reference number and licence obligation / Details of noncompliance or inadequacy of controls)	Auditor's recommendation	Management Action Plan
1/2021	<p><b>B2</b></p> <p><u>Obligation 105 Electricity Industry Act Section 17(1); ERA (Licensing Funding) Regulations 2014</u></p> <p>On the following two occasions during the audit period, Mumbida Wind Farm did not pay quarterly standing charges by the due date:</p> <ul style="list-style-type: none"> <li>• Quarterly standing charges were not paid by the due date of 30 January 2017 due to incorrect payment terms used by the accounts team</li> <li>• Quarterly standing charges due on 7 April 2021 were not paid until 5 May 2021 due to an administrative oversight.</li> </ul> <p><u>Obligation 123 – Licence condition 4.4.1</u></p> <p>Licence condition 4.4.1 requires Mumbida Wind Farm to report to the ERA within prescribed timeframes:</p> <p>(c) Any change in the Licensee's name, ABN or address (within 10 business</p>	<p>Mumbida Wind Farm maintain a more effective compliance register for all Licence obligations that require action in a prescribed timeframe, or when triggered by a relevant event. This register should be used as a working tool, with tasks allocated to responsible staff.</p>	<p><b>Detail:</b> Additional requirements have been added to Mumbida Wind Farm's annual compliance summary with an updated copy distributed to all relevant personnel with compliance responsibilities.</p> <p><b>Responsible Person:</b> General Manager Mumbida Wind Farm</p> <p><b>Due Date:</b> Completed in January 2022</p>

	<p>days of the change occurring).</p> <p>On the following two occasions during the audit period, Mumbida Wind Farm did not notify the ERA of a change of address within the prescribed 10 business day timeframe:</p> <ul style="list-style-type: none"> <li>• The change of address on 18 January 2017 was notified to the ERA on 7 February 2017, which was outside the 10-day timeframe</li> <li>• The change of address on 1 September 2021 was notified to the ERA on 6 December 2021, which was outside the 10-day timeframe.</li> </ul> <p>We note that Mumbida Wind Farm’s primary point of contact, email and telephone contact details had not changed during the audit period.</p> <p><u>Obligation 454 – Electricity Metering Code Clause 7.2(5)</u></p> <p>Mumbida Wind Farm did not advise the network operator, Western Power of changes to contact details previously notified via Schedule 6 of its ETAC with Western Power.</p> <p>Changes to Mumbida Wind Farm’s contact details (postal address and facsimile) at Schedule 6 of its ETAC with Western Power had not been updated in accordance with the provisions of ETAC clause 36 and therefore had not been notified to the Western Power in</p>		
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	<p>accordance with the Metering Code Clause 7.2(5).</p> <p>We note that the primary contact officer's email address had not changed during the audit period</p>		
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