



BUSINESS
CONSULTANTS

Date/s of alleged breach	Market Rule/s	Summary of the facts	Conclusion
August 2017 and May 2018	Clause 1.7.3(a) Clause 7.12.2	<p>The Australian Energy Market Operator is required to prepare quarterly status reports on market dispatch and provide these reports to the ERA under clause 7.12.1 of the Market Rules. The ERA is required to publish these reports on its website after removing any confidential information under clause 7.12.2.</p> <p>AEMO is responsible for setting the confidentiality status of information under the Market Rules.</p> <p>The ERA published two of AEMO's clause 7.12 reports, one in August 2017 and one in May 2018, which contained dispatch information for two separate events classified as confidential under AEMO's information confidentiality status publication.</p>	<p>There was a breach of Market Rules 1.7.3 and 7.12.2 by the ERA for these two instances.</p> <p>By the time these reports were published by the ERA, the market would have been aware of the generation and outage information for the two separate events. Therefore, there would have been no adverse impact on the participants from the publication of this information.</p> <p>The ERA has implemented additional controls to prevent this from reoccurring in the future.</p>

Date/s of alleged breach	Market Rule/s	Summary of the facts	Conclusion
20 November 2018	Clause 10.2.2	<p>During the course of a routine compliance process, an email containing compliance information intended to be sent internally was inadvertently sent to a third party. The third party was a supplier and not a market participant. The third party was not authorised to receive the compliance information in the email. The third party swiftly deleted the email.</p>	<p>There was a breach of Market Rule 10.2.2 (c) by the ERA.</p> <p>The release of confidential information was accidental, was promptly identified and corrected.</p> <p>Processes have been implemented by the ERA to prevent this reoccurring.</p>