

## Shire of Dumbleyung – Post Review Implementation Plan

### 5.4 Current Review: Deficiencies and Recommendations

#### Reviewer Recommendation 1/2017

The Asset Management Plan has been updated.

#### Reviewer Recommendation 2/2017

- The flow rate is to be calculated by 31 March 2018
- The Asset Management Plan has been updated.
- There have not been any overflows in during the review period or at least for the past five years. The shire understands that if overflows are required, we must first make contact with the Department of Water and Environment for approval and the Department of Health as a courtesy. This comment is in relation to the auditor speculating that that shire could get permission for an annual overflow and use the airstrip reserve adjoining the sewerage area as a means to control the levels in the evaporation ponds.
- The Asset Register has been updated.

#### Reviewer Recommendation 3/2017

- The Asset Management Plan has been updated.
- Commencing in 2017, and as an annual procedure, the shire engages a plumbing contractor to perform a CCTV Inspection, condition report and greasing manhole covers on one-third of the sewerage system each year. This will ensure that the whole system is inspected over a three year period.
- Each year the shire engages a plumbing contractor to perform a CCTV inspection and provide a condition report for the sewerage system Once the report is received, the Manager of Finance updates the condition ratings in the Asset Management Plan and Asset Register. This ensures all conditions ratings are no more than three years old. (This three year cycle ties in with the current Fair Value requirement under the Local Government Act regulations).

#### Reviewer Recommendation 4/2017

This has been updated.

#### Reviewer Recommendation 5/2017

- This has been done
- This has been done
- This has been added to the compliance calendar to be completed each January

#### Reviewer Recommendation 6/2017

- This has been added to the compliance calendar
- This has been completed
- This has been completed

#### Reviewer Recommendation 7/2017

This has been added to the compliance calendar. A 10 year timeframe has been used to fit in with the requirements of the *Local Government Act 1995* and associated regulations.

### **Reviewer Recommendation 8/2017**

- A review has been undertaken this year and it has been added to the compliance calendar to be completed annually.
- This has been completed.

## **Shire of Dumbleyung – Post Audit Implementation Plan “Further Action Required”**

### **Auditor Recommendation 16/2015**

The recommendation will be implemented by the Chief Executive Officer by 13 March 2018.

### **4.4 Current Audit: Non-Compliances and Recommendations**

#### **Auditor Recommendation 1/2017**

The Chief Executive Officer of shire will review the Compliance Calendar by 31 March 2018 to ensure that all major compliance obligations are included.

It should be noted that the shire uses a Risk Management approach to the compliance calendar and importantly that it achieved a rating of 1, Performing Effectively, which demonstrates that the systems and processes that it has in place are effective the type of organisation and water service that it is running. The auditor’s recommendation shows a lack of understanding of the legislative environment that a shire operates in.

#### **Auditor Recommendation 2/2017**

The shire has updated the Customer Charter to appropriately address the sections of the act, however the NR rating given indicates this isn’t really necessary as the ‘exceptional circumstance’ mentioned by the auditor does not exist.

#### **Auditor Recommendation 3/2017**

The Rates Officer will conduct a review of the Rates Notice by 30 April 2018.

#### **Auditor Recommendation 4/2017**

The Rates Officer will conduct a review of the Rates Notice by 30 April 2018.

#### **Auditor Recommendation 5/2017**

The Customer Charter has been updated to include the suggested change.

#### **Auditor Recommendation 6/2017**

The Customer Charter has been updated. The Rates Officer will update the rates notice before the next rates are to be sent out. (Normally August each year but dependent)

#### **Auditor Recommendation 7/2017**

The Financial Hardship Policy has been updated in draft format, but the shire will have to seek approval for the Financial Hardship Policy from the Economic Regulation Authority. The shire is not aware of any discounts under the Water Services Act 2012 or grant schemes, which does make it

difficult to inform customers of these if they do not exist. To be completed by the Chief Executive Officer by 13 April 2018.

**Auditor Recommendation 8/2017**

The Chief Executive Officer of the shire will undertake a review, to be completed by 30 April 2018.