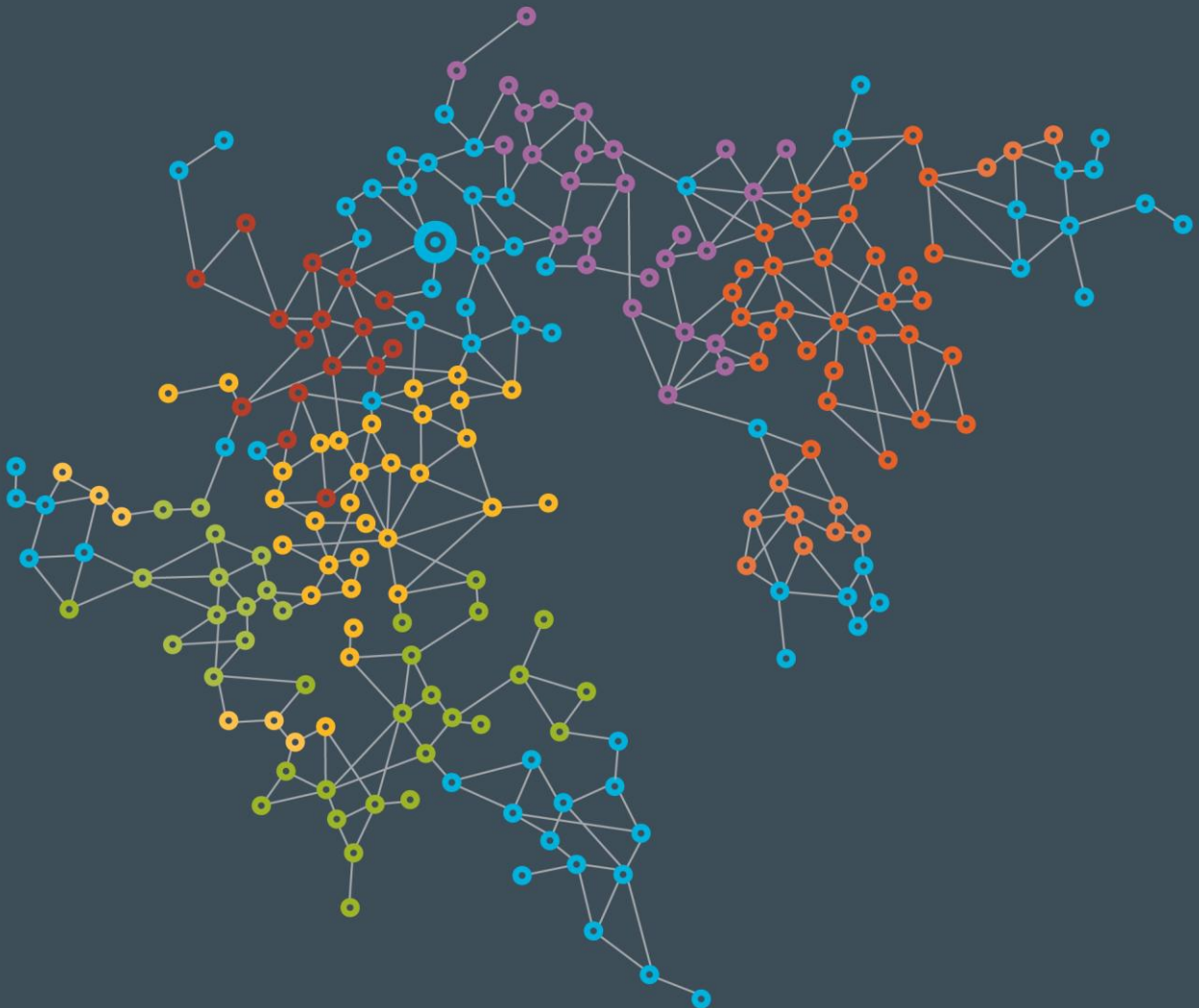




INDEPENDENT
MARKET
OPERATOR

Wholesale Electricity Market Compliance Report

21 March 2013 – 20 September 2013



1. Introduction

The IMO is required by clause 2.13.26 of the Market Rules to release a report at least once every six months setting out a summary for the preceding six months of:

- proceedings that have been brought before the Electricity Review Board;
- findings of the Electricity Review Board on matters referred to them;
- orders made by the Electricity Review Board; and
- civil penalties imposed by the IMO under clause 2.13.16(a) of the Market Rules, where the Electricity Review Board has not set these aside.

This report provides a summary of those matters for the six-month period from 21 March 2013 to 20 September 2013.

2. Report for the period from 21 March 2013 to 20 September 2013

Proceedings that have been brought before the Electricity Review Board

No new proceedings were brought before the Electricity Review Board during the relevant period.

Findings of the Electricity Review Board on matters referred to them

The Electricity Review Board made no findings during the relevant period.

Orders made by the Electricity Review Board

The Electricity Review Board made no orders during the relevant period.

Civil Penalties imposed by the IMO and not set aside by the Electricity Review Board

The IMO imposed no civil penalties during the relevant period.