

Facility 110

Post-Performance Audit and Asset Management Review

Action Plans

November 2013

Table 1 - Post Audit Action Plan 2013

Ref	Lic Clause	Issue	Recommendation	Post Audit Action	Compliance Scale	Person Responsible/Date of implementation
105	Fees Clause 4.1	A late payment of Licensing Fees was noted	<i>Implement a flagging system in WAPL accounts process to notify management that the payment is pending to allow for fee payment on time</i>	Completed. A process is in place to flag fee payment dates.	4	N/A
124	Provision of Information Clause 16.1	Submitted annual compliance reports and associated advice of receipt from ERA not collated to provide clear closeout of requirement	<i>Attach a copy of each annual Compliance Report to the letter of receipt from the ERA so as to demonstrate the timeliness of submissions</i>	WAPL to create repository dedicated to storing collated ERA/EGL required annual compliance documentation	3	WAPL Manager Production Power/ June 2014

Table 2 - Post Asset Management Review Action Plan 2013

Ref	Key Processes	Issue	Recommendation	Post-Audit Action Plan	Person Responsible/ Date of Implementation
1/13	Asset Planning	WAPL Power Plant does not have an isolated AMP. It presently works on the Alumina Plan.	<i>WAPL to formalise an isolated consolidated asset plan for the power plant. A reporting system is to be established to measure and monitor actual against the plans.</i>	WAPL to create the asset plan for the power plant.	WAPL Manager Production Power/ June 2014
2/13	Environmental Analysis	WAPL Power Plant depends on the wider Alumina Refinery to meet some of the environmental/legal and safety obligations.	<i>The Licensee is recommended for WAPL Power Plant to establish a consolidated compliance manual to track all these compliance.</i>	WAPL to consider creating a Compliance Manual with monitoring facility in place.	WAPL Manager Production Power/ June 2014

Ref	Key Processes	Issue	Recommendation	Post-Audit Action Plan	Person Responsible/ Date of Implementation
3/13	Review of AMS	There is no independent review of the Asset Management System which WAPL has incorporated into the Alumina Refinery plan.	<i>The Licensee is recommended to have an independent review/audit conducted on the Asset Management System.</i>	WAPL to schedule an independent review/audit of the Power Plant AMS. WAPL to update audit/review process.	WAPL Manager Production Power/ June 2014